# 43. Some Unusual Products from the Thermal Reaction of Azulenes with Dimethyl Acetylenedicarboxylate 

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#### Abstract

The thermal reaction of azulene-1-carbaldehydes 5 and 6 with excess dimethyl acetylenedicarboxylate (ADM) in decalin leads mainly to the formation of $(1+1)$ and $(1+2)$ adducts arising from the addition of ADM at the seven-membered ring of the azulenes (cf. Schemes 2 and 4). The $(1+2)$ adducts are formed in a homo-Diels-Alder reaction of ADM and isomeric tricyclic carbaldehydes which are derived from the primary tricyclic carbaldehydes by reversible [ $1 s, 5 s]$-C shifts ( $c f$. Schemes 3 and 5). The thus formed pentacyclic carbaldehydes seem to undergo deep-seated skeletal rearrangements (cf. Scheme 7) which result finally in the formation of the formyl-tetrahydrocyclopenta $[b c]$ acenaphthylene-tetraesters 12 and 19 , respectively. In other cases, e.g., azulene-1-carbaldehydes 7 and 8 (cf. Scheme 8), the thermal reaction with excess ADM furnishes only the already known tetracyclic ( $1+2$ ) adducts of type 'anti'-26 to 'anti' $\mathbf{- 2 9}$. The thermal reaction of $1,3,4,8$-tetramethylazulene ( $\mathbf{9}$ ) with excess ADM in decalin resulted in the formation of two $(1+2)$ and one $(1+3)$ adduct in low yields (cf. Scheme 9 ). The latter turned out to be the 2,6 -bridged barrelene derivative 32 . There are structural evidences that $\mathbf{3 2}$ is formed by similar pathways as the formyl-tetrahydrocyclopentalbc]acenaphthylene-tetraesters ( $c f$. Schemes 7 and 11). [ $\left.{ }^{2} \mathrm{H}_{3}\right] \mathrm{Me}$-Labelling experiments are in agreement with the proposed mechanisms (cf. Scheme 13).


1. Introduction. - Four years ago, we reported that the thermal reaction of azulenes with dimethyl acetylenedicarboxylate (ADM) leads not only to the addition of ADM at the five-membered ring of the azulenes but also at the seven-membered ring [1]. Both reactions can be regarded as Diels-Alder-type additions controlled by the HOMO and SHOMO, respectively, of the azulenes. The thermal reaction at the seven-membered ring of the azulenes, which occurs irreversibly under the applied conditions, is strongly favored in cases where the reaction at the five-membered ring is highly reversible, or where the five-membered ring carries $\pi$-acceptor substituents such as the CHO or MeOCO group at $C(1)$ or $C(3)$ [1-3]. Since we observed already in our earlier work [2] [4] that the additional substituents at the azulene core have also a great influence on the thermal addition of ADM at the seven-membered ring, we varied the position of Me substituents at the seven-membered ring systematically. We now report on the results of this work. As we will see, the yields of the new products are altogether low, since most of the azulenes reacted to highly polar, intractable materials. However, the elucidated structures of the $(1+1)$ to $(1+3)$ adducts cast some light on unprecedented combined reactivities of strained molecules.

## 2. Thermal Reactions of Azulenes in the Presence of Excess of Dimethyl Acetylenedi-

 carboxylate. - 2.1. Reactions with Azulene-1-carbaldehydes. Since we already observed[^0]that neither 4,6,8-trimethyl- nor 6-(tert-butyl)-3,4,8-trimethylazulene-1-carbaldehyde ( $\mathbf{1}$ and $\mathbf{2}$, resp.) gave, in the thermal reaction with ADM, products that could be derived from the addition of ADM at the seven-membered ring of the reactants (cf. Scheme 1), we included in our studies the azulene-1-carbaldehydes $5-8$ (Scheme 2) in order to look specifically into the influence of the substituent at $C(6)$ - one of the bonding termini of the primary adduct formation at the seven-membered ring. For comparison, the tetramethylazulene 9 was also involved in our investigations.

a) Carbaldehyde 1 was reacted with 4,5 mol-equiv. of ADM at $200^{\circ} / 3.5 \mathrm{~h}$; recovery of $18 \%$ of 1 [2]. b) Carbaldehyde 2 was reacted with 4.4 mol-equiv. of ADM at $200^{\circ} / 2.5 \mathrm{~h}$; recovery of $38 \%$ of 2 [2].

The synthesis of carbaldehydes 6 to 8 has already been described (cf. [1] [4] [5]). Azulene 9 and carbaldehyde 5 were synthesized by the usual formylation/reduction procedures (cf. [1]) starting with 4,8-dimethylazulene [5].

The thermal reaction of carbaldehyde 5 with a 3.5 mol-equiv. of ADM in decalin led to the formation of three new yellow-colored formyl-esters $\mathbf{1 0 - 1 2}$ (Scheme 2). The compounds 10 and $\mathbf{1 2}$ could be separated by column chromatography on silica gel (hexane/ $\mathrm{Et}_{2} \mathrm{O} 2: 3$ ), then further purified by preparative HPLC and finally crystallized from $\mathrm{Et}_{2} \mathrm{O}$. The third carbaldehyde 11 , which is structurally related to $\mathbf{1 0}$, could only be characterized by its ${ }^{1} \mathrm{H}-\mathrm{NMR}$ spectrum in the mixture with 10 .

The $C_{s}$-symmetric structure of 10 was clearly indicated by its ${ }^{1} \mathrm{H}$-NMR spectrum $\left(\mathrm{CDCl}_{3}\right.$ ) which showed for the three Me groups only two signals ( $s$ and $d$ ) in the ratio

Scheme 2

a) A 0.2 M solution of 5 in decalin was heated in the presence of 3.5 mol-equiv. of ADM at $180^{\circ} / 1.5 \mathrm{~h}$. Carbaldehyde 11 could not be isolated in pure form, since it isomerized slowly at room temperature to yield a thermal 3:1 equilibrium mixture $\mathbf{1 0} / \mathbf{1 1}$. The other yields refer to pure material.
of $1: 2$ at 2.04 and 1.39 ppm , respectively. The signal for $\mathrm{H}-\mathrm{C}(9)$ and $\mathrm{H}-\mathrm{C}(12)$ in enantiotopic position appeared as $d d$ at 6.03 ppm with ${ }^{3} J(\mathrm{H}-\mathrm{C}(9,12), \mathrm{H}-\mathrm{C}(8))=6.8 \mathrm{~Hz}$ and ${ }^{4} J(\mathrm{H}-\mathrm{C}(9,12), \mathrm{Me}-\mathrm{C}(10,11))=1.2 \mathrm{~Hz}$. The observed chemical shifts, especially the high-field shift for $\mathrm{Me}-\mathrm{C}(10,11)$, and the coupling constants are typical for this type of structure (cf. [1-4]). To secure the NMR-derived structure as model for all tricyclic carbaldehydes of this type, we performed an X-ray crystal-structure analysis of $\mathbf{1 0}$ (Fig. 1). It clearly documents, on one hand, the almost perfect s-cis- and s-trans-conformation of the $\mathrm{C}=\mathrm{O}$ group of the ester function at $\mathrm{C}(7)$ and the CHO group at $\mathrm{C}(2)$, respectively, i.e., at the two termini of the incorporated hexa-1,3,5-triene substructure. The MeOCO group at $\mathrm{C}(6)$, which is only in cross-conjugation with the triene system, is turned by exactly $90^{\circ}$ out of conjugation in the crystals. On the other hand, the almost ideal s-trans-conformation of the CHO group at $\mathrm{C}(2)$ shows that the two trajectories of this group for a nucleophilic attack are interrupted by the two spheres of the Me groups at $\mathrm{C}(10)$ and $\mathrm{C}(11)$. Indeed, all attempts to reduce the CHO group in such types of tricyclic carbaldehydes, without affecting other parts of these molecules, have failed so far. This is also true for addition reaction with other nucleophiles. The only reaction that could be performed easily was the decarbonylation reaction with the Wilkinson catalyst (cf. [2]).

The structure of the other formyl-ester 11 that slowly isomerized thermally into $\mathbf{1 0}$ and vice versa was only deduced from its ${ }^{1} \mathrm{H}-\mathrm{NMR}$ spectrum $\left(\mathrm{CDCl}_{3}\right)$. It indicated the presence of three different olefinic H -atoms in a ratio of $1: 1: 1$. The one at lowest field



Fig. 1. Stereoscopic view of the $X$-ray crystal structure of the tricyclic formyl-diester 10
(7.41 ppm) appeared as the sharp $s$ and had to be assigned to $\mathrm{H}-\mathrm{C}(3)$. The signals of the two other olefinic H -atoms occurred as $d q$ at 6.20 and 6.06 ppm , respectively. The coupling constants of the two $d$ amounted to 8.5 and 6.7 Hz , respectively. The signal with ${ }^{3} J=8.5 \mathrm{~Hz}$ had to be assigned to $\mathrm{H}-\mathrm{C}(7)$ which shares with $\mathrm{H}-\mathrm{C}(8)$ a rigid torsion angle of $0^{\circ}$, whereas $\mathrm{H}-\mathrm{C}(12)$ and $\mathrm{H}-\mathrm{C}(8)$ form a rigid torsion angle of $c a .19^{\circ}{ }^{2}$ ) in accordance with the reduced ${ }^{3} J$ value of 6.7 Hz . The structure of 11 is also reflected in the signals of three different Me groups which are found at 2.32 ppm (sharp $s$ ), 2.05 as well as 1.52 ppm (both as $d$ with ${ }^{4} J=1.2$ and 1.3 Hz , resp.). The fact that the signals of $\mathrm{H}-\mathrm{C}(3)$ and the Me group at the five-membered ring appear both as sharp $s$ permits only placing the Me group at $\mathrm{C}(4)$ and, as a consequence, the CHO group at $\mathrm{C}(2)$, because the reverse situation ( $c f .13$ in Scheme 3) would result in a ${ }^{4} J$ coupling between $\mathrm{H}-\mathrm{C}(3)$ and the adjacent Me group.

Scheme 3


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The thermal interconversion of $\mathbf{1 0}$ and $\mathbf{1 1}$ requires the presence of at least one further tricyclic formyl-diester $\mathbf{1 3}$ which carries its Me group at the five-membered ring at $\mathrm{C}(2)$. It might also be that the tricyclic formyl-diester $\mathbf{1 4}$ as the last possible structure takes also part in the thermal equilibrium.

The structure of the formyl-tetraester $\mathbf{1 2}$ was without precedence, and the spectroscopic data of $\mathbf{1 2}$ (see later) did not allow an unequivocal establishment of its structure. Therefore, the structure of $\mathbf{1 2}$ was solved by an X-ray crystal-diffraction analysis (Fig. 2).

The analysis revealed the presence of a tetrahydrocyclopenta[bc]acenaphthylene core which carried all the substituents at the $\mathrm{sp}^{3}$-centers in cis-relation leading to a bowl-like

[^1]


Fig. 2. Stereoscopic view of the $X$-ray crystal structure of the formyl-cyclopenta I b /acenaphthylenetetracarboxylate 12
arrangement of the C -skeleton. The four contiguous $\mathrm{C}=\mathrm{C}$ bonds are only moderately twisted to each other as indicated by $\theta(\mathrm{C}(3)-\mathrm{C}(3 \mathrm{a})-\mathrm{C}(4)-\mathrm{C}(5))=-138.6(3)^{\circ}$, $\theta(\mathrm{C}(4)-\mathrm{C}(5)-\mathrm{C}(6)-\mathrm{C}(6 \mathrm{a}))=-19.1(4)^{\circ}$, and $\theta(\mathrm{C}(6)-\mathrm{C}(6 \mathrm{a})-\mathrm{C}(7)-\mathrm{C}(8))=157.3(2)^{\circ}$, which may account for the intense yellow color of 12 in the crystals as well as in solution. The Me group at $\mathrm{C}(8 \mathrm{c})$ is well placed in the middle of the surrounding octatetraene substructure. This explains its appearance as a sharp $s$ at 1.29 ppm in the ${ }^{1} \mathrm{H}-\mathrm{NMR}$ spectrum $\left(\mathrm{CDCl}_{3}\right)$, i.e., its signal is shifted to high field due to the shielding effect of the $\pi$-system. The two adjacent H -atoms at $\mathrm{C}(8 \mathrm{a})$ and $\mathrm{C}(8 \mathrm{~b})$ are clearly differentiated by their chemical shifts ( 4.04 and 2.98 ppm , resp.) and the additional coupling constant of $\mathrm{H}-\mathrm{C}(8 \mathrm{a})$ which couples weakly ( ${ }^{3} \mathrm{~J}=2.2 \mathrm{~Hz}$ ) with $\mathrm{H}-\mathrm{C}(1)$, whereas $\mathrm{H}-\mathrm{C}(8 \mathrm{a})$ and $\mathrm{H}-\mathrm{C}(8 \mathrm{~b})$ couple with ${ }^{3} J=8.7 \mathrm{~Hz}$. The signal of $\mathrm{H}-\mathrm{C}(1)$ turns up at 6.56 ppm as $d\left({ }^{3} J=2.1 \mathrm{~Hz}\right)$ and that of $\mathrm{H}-\mathrm{C}(5)$ appears as a broad $s$ at 6.34 ppm , since the ${ }^{4} J$ coupling with $\mathrm{Me}-\mathrm{C}(4)$ is small, being also evident from the comparable broad $s$ of this group at 2.22 ppm . The chemical shift of the H -atom of the CHO group ( 9.59 ppm ) is in agreement with the conjugation of the aldehyde function with the extended $\pi$-system.

The thermal reaction of the carbaldehyde 6 with ADM in decalin gave, in comparison to 5 , a more complex reaction mixture (Scheme 4). The chromatographic workup of the mixture on silica gel (hexane/ $\mathrm{Et}_{2} \mathrm{O} 1: 9$ ) gave three fractions. The fractional crystallization of the first chromatographic crop from $\mathrm{Et}_{2} \mathrm{O}$ yielded crystals of 18 , followed by crystals of 19 and finally of 16 . The latter carbaldehyde crystallized in fine colorless needles as well as in colorless cubes, whereas 18 was obtained in yellow-orange prisms, and 19 as an intensely yellow crystal powder. From the two other chromatographic fractions, the formyl-esters 15 and 17 were isolated, by preparative HPLC, in small amounts. Both compounds were identified by their characteristic ${ }^{1} \mathrm{H}-\mathrm{NMR}$ spectra (vide supra as well as [2]). Moreover, $\mathbf{1 7}$ is the $\mathrm{Me}-\mathrm{C}(8)$ analogoue of $\mathbf{1 1}$ and displayed, therefore, a similar ${ }^{1} \mathrm{H}-\mathrm{NMR}$ spectrum (cf. Exper. Part).

The ${ }^{1} \mathrm{H}-\mathrm{NMR}$ spectra $\left(\mathrm{CDCl}_{3}\right)$ of $\mathbf{1 5}$ and $\mathbf{1 6}$ resembled each other with the difference that 15 showed the signal of the Me group at $\mathrm{C}(8)$ as a sharp $s$ in contrast to 16 which displaced the signal for the corresponding Me group in the olefinic region at as $d\left({ }^{4} J(\mathrm{Me}-\mathrm{C}(11), \mathrm{H}-\mathrm{C}(12))=1.4 \mathrm{~Hz}\right)$. In accordance with this findings appears the

a) A 0.14 m solution of 6 in decalin was treated with 4.5 mol-equiv. of ADM at $180^{\circ} / 2 \mathrm{~h}$. Yields are given for the purified and crystallized materials. Formyl-esters 13 and 15 were only obtained in solution after purification by preparative HPLC.
signal for $\mathrm{H}-\mathrm{C}(12)$ of $\mathbf{1 5}$ as an $s$ at 7.66 ppm , i.e., strongly shifted to low field due to the presence of the CHO group in vinylogous position. The isomeric formyl-tetraester 16, on the other hand, shows the signal for $\mathrm{H}-\mathrm{C}(12)$ as $q$-like $\left({ }^{4} J(\mathrm{H}-\mathrm{C}(12), \mathrm{Me}-\mathrm{C}(11))=1.4 \mathrm{~Hz}\right)$ at 6.70 ppm . The chemical shift of the H -atom of the CHO group in both isomers ( $\mathbf{1 5}: 9.52 \mathrm{ppm} ; 16: 10.05 \mathrm{ppm}$ ) is an additional support for the correct assignment of the structure of $\mathbf{1 5}$ and $\mathbf{1 6}$.

The structure of 19 was assigned on the basis of its almost identical UV/VIS spectrum as compared with 12. Also the ${ }^{1} \mathrm{H}-\mathrm{NMR}$ spectrum $\left(\mathrm{CDCl}_{3}\right)$ of 19 resembled very much that of 12 . The signal of $\mathrm{H}-\mathrm{C}(8 \mathrm{~b})$ appeared in 19 as a sharp $s$ at 2.59 ppm , since the neighboring $\mathrm{C}(8 \mathrm{a})$-atom carried now, instead of an H -atom as in 12, a Me group, the signal of which turned up as an $s$ at 1.34 ppm . The Me group at $\mathrm{C}(8 \mathrm{c})$ is again shielded by the surrounding octatetraene substructure, and, therefore, its signal occurred as an $s$ at $1.28 \mathrm{ppm}(c f . \mathbf{1 2}: 1.29 \mathrm{ppm})$. This cis-relation of the substituents at $\mathrm{C}(8 \mathrm{a})$ to $\mathrm{C}(8 \mathrm{c})$ was further confirmed by ${ }^{1} \mathrm{H}-\mathrm{NOE}$ measurements which gave strong reciprocal effects for the substituents in these positions. Furthermore, irradiation of the signal of $\mathrm{Me}-\mathrm{C}(8 \mathrm{a})$ gave, in addition to the effect on $\mathrm{H}-\mathrm{C}(8 \mathrm{~b})$, also an effect on $\mathrm{H}-\mathrm{C}(1)$, indicating the vicinal relation of these two substituents. The spatial relationship of $\mathrm{Me}-\mathrm{C}(3)$ and $\mathrm{Me}-\mathrm{C}(4)$ was also evident from corresponding ${ }^{1} \mathrm{H}-\mathrm{NOE}$ measurements ( $c f$. Exper. Part).

The ${ }^{1} \mathrm{H}$-NMR spectrum $\left(\mathrm{CDCl}_{3}\right)$ of formyl-tetraester 18 disclosed already at a first glance that the structure of this compound must be unusual and new. The integral of the signals of the MeCO groups revealed it to be a $(1+2)$ adduct of 6 and ADM. In addition, the signal ratios of the MeOCO and Me groups of $1: 1: 2$ as well as that of the H -atom of the CHO group, one olefinic H -atom (small $q$-like with an allylic coupling of 1.4 Hz with one of the Me groups at 2.10 ppm ) at 6.74 ppm , and two equivalent H -atoms,
appearing as a sharp $s$ at 1.77 ppm , indicated the presence of a mirror plane in the molecule. The location of the signal of the H -atom of the CHO group at 9.81 ppm implied that the CHO group occupied also an olefinic position. Noteworthy was also the position of the signal of the two equivalent Me groups at 0.70 ppm suggesting that they were linked to a cyclopropane ring and concomitantly placed above a cyclopentadiene subunit. The ${ }^{13} \mathrm{C}$-NMR spectrum of 18 fully supported this view (see Exper. Part). The final resolution of the structure of $\mathbf{1 8}$ came from an X-ray crystal-diffraction analysis (Fig. 3), disclosing indeed the presence of two three-membered rings in mirror-image position and the rest of the skeletal C -atoms placed in the mirror plane.



Fig. 3. Stereoscopic view of the X-ray crystal structure of the pentacyclic formyl-tetraester 18

Formation of $\mathbf{1 8}$ from a symmetric tricyclic precursor of type $\mathbf{2 0}$ and ADM as depicted in Scheme 5 seems, therefore, reasonable. The presence of 17 in the reaction mixture has already been demonstrated (Scheme 4). A reversible [ $1 s, 5 s]-\mathrm{C}$ shift will lead to the formation of the $C_{s}$-symmetric tricycle 20 which can react thermally with ADM in a homo-Diels-Alder reaction to $\mathbf{2 1}$. The thermal rearrangement of the latter will result in the formation of the observed pentacyclic structure of $\mathbf{1 8}^{\mathbf{3}}$ ).

The tetrahydrocyclopenta[bc]acenaphthylene structure of 12 and 19 evidences that the formation of $\mathbf{1 2}$ and 19 must be the result of a deep-seated skeletal rearrangement of the azulene nucleus, since both compounds contain instead of an adjacent five- and seven-membered ring two annelated six-membered rings. In addition, we had found that a compound of type $\mathbf{1 2} / 19$ has also been formed in the thermal reaction of 1,3,4,6,8-pentamethylazulene (22) and ADM, beside all other products [1], when we had been repeat-

[^2]Scheme 5

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a) Thermal $[1 s, 5 s]$-C shifts. b) Homo-Diels-Alder reaction. c) Bicyclo[4,1.0]hex-3-ene $\rightarrow$ tricyclo[4.1.0.0 $0^{2,4}$ ]hexane rearrangement ( $c f .[6]$ ).
ing the original reaction [7] (Scheme 6). The structure of 23 had been solved by an X-ray crystal-diffraction analysis [7]. Therefore, it seems that the CHO group in the azulenes 5 and 6 is not responsible for the generation of the tetrahydrocyclopenta[bc]acenaphthylene structure of $\mathbf{1 2}$ and $\mathbf{1 9}$, respectively. On the other hand, all three azulenes have in common that they form isolable tricyclic adducts by addition of ADM at the

Scheme 6

a) A. 0.5 m solution of $\mathbf{2 2}$ in decalin was heated in the presence of 4 mol-equiv. of ADM at $200^{\circ} / 2 \mathrm{~h}$. See [1] for all the other formed products; m.p. of $23179-181^{\circ}\left(\mathrm{Et}_{2} \mathrm{O}\right)$ [7].
seven-membered ring, and the formation of the pentacyclic bisadduct 18 discloses that the primary adducts of type $\mathbf{1 7}$ can rearrange to derived tricycles (e.g. 20) which are able to take up thermally a second ADM molecule in a homo-Diels-Alder reaction (cf. Scheme 5). If we assume now that strained pentacycles of type 18 can undergo a thermal cheletropic cleavage reaction to a corresponding singlet carbene (e.g. 24) which then endures an aromatic ring-enlargement reaction, we are not far away from the final product 19 (Scheme 7). An aromatic vinylcyclopropane $\rightarrow$ cyclopentene rearrangement of the strained meta-bridged intermediate 25 will then result in the formation of the final product 19. The formation of $\mathbf{1 2}$ and $\mathbf{2 3}$ can be formulated in the same way.

Scheme 7



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a) Cheletropic ring-cleavage reaction. b) Cyclopentadienylmethylene $\rightarrow$ benzene rearrangement. c) Cyclopropyl-
benzene $\rightarrow$ indene rearrangement.

The generation of singlet carbenes from cyclopropanes is a well-known photochemical process (see, e.g., [8]). Ring-enlargement reactions of cycloalkylmethylenes in the sense of a Bamford-Stevens reaction are well established (see, e.g., [9]). Of interest in this connection is the observation that (1-phenylcyclopentyl)methylene leads in $80 \%$ yield to the formation of 1 -phenylcyclohexene [10]. It seems that the rearrangement of (cyclopen-tadien- 5 -yl)methylenes into benzene derivatives has so far not been investigated (see, e.g., [11]). The thermal rearrangement of strained meta-bridged 25 into 19 will be an energetically favorable process despite the fact that the benzene nucleus loses its aromatic character. Thermal vinylcyclopropane $\rightarrow$ cyclopentene rearrangements are well investigated (e.g., [12]); however, their aromatic variants have so far only been observed photochemically (see, e.g., [8]).

The thermal reactions of the carbaldehydes 7 and 8 with ADM did not lead to the formation of product types so far discussed. From both reaction mixtures, we isolated by chromatography on silica gel two tetracyclic compounds of the same type (Scheme 8). It has already been demonstrated by us that tetracyclic compounds of the shown type are the result of the thermal addition of ADM at the seven-membered ring, followed by a second thermal addition of ADM at the cyclopentadiene ring of the primary adducts of type 7 etc. (cf. $[1-4]$ ). The 'anti'-addition mode of ADM in the second step that leads to the 'anti'-structures shown in Scheme 8 was established by the X-ray crystal-structure analysis of 'anti'-27 and 'anti'-28 (cf. Exper. Part).

In conclusion, we can state that the primary adducts of azulenes with ADM at the seven-membered ring, as a rule, will react in a second Diels-Alder addition with ADM at the five-membered ring to form tetracyclic compounds of type 'anti' $\mathbf{2 6}$ to 'anti' $\mathbf{2 9}$. However, when the second addition step of ADM is impeded on steric grounds (e.g., Me

Scheme 8

a) $\mathrm{R}=\mathrm{H}: \mathrm{A} 0.16 \mathrm{~m}$ solution of 7 in decalin was heated with 4 mol-equiv. of ADM at $180^{\circ} / 1.5 \mathrm{~h} ; \mathrm{R}=\mathrm{Me}:$ a 0.1 m solution of $\mathbf{8}$ in decalin was heated with 4.9 mol-equiv. of ADM at $180^{\circ} / 1.5 \mathrm{~h}$.
groups at $\mathrm{C}(2)$ and $\mathrm{C}(4)$ ) or retarded for electronic reasons (Me and CHO group at $\mathrm{C}(2)$ and $\mathrm{C}(4)$ ), a homo-Diels-Alder reaction can occur with ADM at the homo-diene substructure of the homo-barrelene part of the primary adducts. The so formed pentacyclic compounds with two cyclopropane units are then the starting materials for further products, e.g., 12, 19, or 23.
2.1. Reactions with 1,3,4,8-Tetramethylazulene (9) and Its 1 -( $\left[{ }^{2} H_{3}\right]$ Methyl) Isotopomer $\left.1-I^{2} \mathrm{H}_{3}\right] \mathrm{Me}-9$. To study the thermal behavior of the 6 -normethyl analogue of 22 , the azulene 9 was heated in the presence of 4.7 mol-equiv. of ADM in the usual manner (Scheme 9). Chromatography of the reaction mixture on silica gel with hexane/ $\mathrm{Et}_{2} \mathrm{O}$ 1:4 led to three fractions. The first one contained the pure compound $\mathbf{3 0}$, which, after recrystallization from $\mathrm{Et}_{2} \mathrm{O}$, was obtained as a colorless crystal powder. The second

Scheme 9

a) A 0.35 M solution of 9 in decalin was heated in the presence of 4.7 mol-equiv. of ADM at $180^{\circ} / 2 \mathrm{~h}$. Yields are given for purified and crystallized material.
fraction was further purified by preparative HPLC and gave then, after crystallization, colorless irregular prisms of 31. The third yellow fraction contained the barrelene derivative 32 which crystallized in bright-orange prisms.

The structure of 31 was derived from its ${ }^{1} \mathrm{H}-\mathrm{NMR}$ spectrum $\left(\mathrm{CDCl}_{3}\right)$ which was, according to the average $C_{s}$-symmetry of the molecule, quite simple. The H -atom at $\mathrm{C}(3)$ appeared as the sole olefinic H -atom as a $q$-like signal at 6.20 ppm . The signal of $\mathrm{H}-\mathrm{C}(8)$ occurred as a $t\left({ }^{3} J=5.5 \mathrm{~Hz}\right)$ at 4.20 ppm , followed by sharp $s$ in a ratio of $1: 1: 2$ of four MeOCO groups. The signals of the four Me groups appeared also in a ratio of 1:1:2 with the $s$ for $\mathrm{Me}-\mathrm{C}(11)$ and $\mathrm{Me}-\mathrm{C}(13)$ at 0.66 ppm . The $d\left({ }^{3} J=5.5 \mathrm{~Hz}\right)$ for $\mathrm{H}-\mathrm{C}(9)$ and $\mathrm{H}-\mathrm{C}(12)$ at 1.84 ppm completed the spectrum. The structure of $\mathbf{3 1}$ was finally solved by an X-ray crystal-diffraction analysis (cf. Exper. Part).

The assignment of the structure of $\mathbf{3 0}$ is based on its ${ }^{1} \mathrm{H}$ - and ${ }^{13} \mathrm{C}$-NMR spectrum, supplemented by the corresponding COSY and NOESY spectra. The ${ }^{1} \mathrm{H}-\mathrm{NMR}$ spectrum $\left(\mathrm{CDCl}_{3}\right)$ showed clearly that 30 represented a $(1+2)$ adduct of 9 and ADM, since it exhibited the signals of four MeOCO groups. It showed further signals of two olefinic and two aliphatic H -atoms. The latter two were coupled to each other with $J=2.1 \mathrm{~Hz}$. On the other hand, one of the olefinic H -atoms was coupled with the H -atom whose signal appeared at comparably low field ( 4.17 ppm ). This means that this H -atom had to be placed in a bis-allylic position. The appearance of two signals of Me groups in the high-field region ( $d$ at 1.55 and $s$ at 1.03 ppm ) suggested that one of the Me groups was linked to an $\mathrm{sp}^{2}$ - C -atom and the other one to an $\mathrm{sp}^{3}$ - C -atom, in close vicinity to a shielding subunit such as a cyclopentadiene ring. The presence of a cyclopentadiene ring was indeed indicated by the position and the shape of the signals of the two remaining Me groups. One signal appeared as a $s$ at $1.98 \mathrm{ppm}(\mathrm{Me}-\mathrm{C}(4)$ ) and the other one as a $d\left({ }^{4} J=1.3 \mathrm{~Hz}\right)$ at $1.92 \mathrm{ppm}(\mathrm{Me}-\mathrm{C}(2))$. The chemical shifts of the four MeOCO groups ( 3.84 to 3.76 ppm ) indicated that all four were fixed at $\mathrm{sp}^{2}$-C-atoms. The ${ }^{13} \mathrm{C}-\mathrm{NMR}$ spectrum of the compound fully supported this view. Moreover, the observed long-range ${ }^{1} \mathrm{H},{ }^{13} \mathrm{C}$ couplings allowed only the assignment of the shown structure of $\mathbf{3 0}$. The last open question was that of the relative position of the cyclobutene ring with respect to the $\mathrm{C}(6)=\mathrm{C}(7)$ bridge. The ${ }^{1} \mathrm{H}$-NOESY spectrum of $\mathbf{3 0}$ showed that no polarization transfer was observable between $\mathrm{H}-\mathrm{C}(12)$ and $\mathrm{Me}-\mathrm{C}(13)$ as well as between $\mathrm{H}-\mathrm{C}(9)$ and $\mathrm{H}-\mathrm{C}(14)$. On the other hand, whereas $\mathrm{Me}-\mathrm{C}(13)$ and $\mathrm{Me}-\mathrm{C}(2)$ showed a strong polarization effect as it is usually observed in other tricycles with this substructure, there was only a weak ${ }^{1} \mathrm{H}-\mathrm{NOE}$ observable between $\mathrm{Me}-\mathrm{C}(12)$ and $\mathrm{Me}-\mathrm{C}(2)$, i.e., only the shown 'anti'-relation of $\mathbf{3 0}$ was in agreement with the observed ${ }^{1} \mathrm{H}-\mathrm{NOE}$. It might be that the formation of $\mathbf{3 0}$ is the result of a cheletropic cleavage reaction and a subsequent Bamford-Stevens rearrangement of the intermediate singlet carbene $\mathbf{3 3}$ (Scheme 10). This reaction sequence would explain the 'anti'-position of the cyclobutene substructure of $\mathbf{3 0}$ with respect to the $\mathrm{C}(6)=\mathrm{C}(7)$ bridge. Nevertheless, the radical rearrangement of a corresponding homo-diene adduct of type 21 ( $c f$. Scheme 5) could also account for the stereo-structure of $\mathbf{3 0}$.

Compound 32 showed, in comparison to all the other compounds, a completely different and unusual ${ }^{1} \mathrm{H}-\mathrm{NMR}$ spectrum $\left(\mathrm{CDCl}_{3}\right)$. Noteworthy was the presence of 6 s of 6 non-equivalent MeOCO groups and $4 s$ of 4 -nonequivalent Me groups which indicated that $\mathbf{3 2}$ represented a $(1+3)$ adduct of 9 and ADM in agreement with the $M^{+\cdot}$ peak at $m / z 610$ in the MS of 32 . The appearance of an $A B$ system with $J_{A B}=16.0 \mathrm{~Hz}$

Scheme 10

a) Cheletropic ring-cleavage reaction. b) Cyclopropylmethylene $\rightarrow$ cyclobutene rearrangement (cf. [9]).
at 6.73 and 5.97 ppm revealed the presence of a trans-configured ethylene bond with two H -atoms in a distinctly different chemical surrounding. A third olefinic H -atom occurred as a broad $s$ at 6.00 ppm showing a weak allylic coupling with one of the Me groups that appeared as a broad $s$ at lowest field ( 1.95 ppm ) of the Me groups. The signal (sharp $s$ ) of a fourth H -atom at 4.59 ppm was in agreement with the bonding of this H -atom to a C -atom in bis-allylic and possibly bridgehead position. The UV/VIS spectrum $\left(\mathrm{CH}_{2} \mathrm{Cl}_{2}\right)$ exhibited two broad absorption bands at $401(\log \varepsilon=3.06)$ and $316 \mathrm{~nm}(\log$ $\varepsilon=3.88$ ), i.e., they implied the presence of an extended $\pi$-system.

The final structure of $\mathbf{3 2}$ was then determined by an X-ray crystal-diffraction analysis (Fig. 4) which revealed the unusual structure of a 2,6-bridged barrelene derivative.



Fig. 4. Stereoscopic view of the $X$-ray crystal structure of the barrelene derivative 32

The presence of a maleic-ester bridge in the barrelene part of the molecule can be interpreted as the result of an interception of a strained meta-bridged benzene derivative 34 by ADM in Diels-Alder-type reaction (Scheme 11). The precursor molecule 34 corresponds to the postulated intermediate molecules of type 25 in the thermal rearrangement of the pentacycles of type $\mathbf{1 8}$ into the tetrahydrocyclopenta[bc]acenaphthylenes of type 19 (cf. Scheme 7).

The ring opening of the residual bicyclic structure in the 'meta-bridge' is consistent with a thermal bicyclo[3.1.0]hex-3-ene $\rightarrow$ hexa-1,3,5-triene rearrangement ${ }^{4}$ ) that turns obligatorily the $\mathrm{H}-\mathrm{C}$ bond next to the $\mathrm{C}=\mathrm{C}$ bond in a trans-position with respect to the

Scheme 11

a) See step a) and b) in Scheme 8. b) Diels-Alder reaction with ADM. c) Thermal bicyclo[3.1.0]hexene $\rightarrow$ hexa-1,3,5-triene rearrangement.
olefinic $\mathrm{H}-\mathrm{C}$ bond, since the conservation of orbital symmetry requires a suprafacial ring opening in the indicated sense (cf. Scheme 12). Unfortunately, heating of $\mathbf{3 1}$ in the presence of ADM as a control experiment did not lead to detectable amounts of the final product 32. However, the small amounts of $\mathbf{3 1}$ that were available to us did not allow to repeat this control experiment under altered conditions.

Scheme 12 ${ }^{\text {a }}$ )


A


B
${ }^{\text {a }}$ ) $\mathbf{A}$ and $\mathbf{B}$ partial structures of 35 and 32, respectively. $R=$ residual cyclic part.

On the other hand, another experiment was successful. The proposed mechanism for the formation of $\mathbf{3 2}$ from 9 and ADM via 31 places $\mathrm{Me}-\mathrm{C}(1,3)$ of 9 at $C(2)$ and $C(4)$ in 31. Since the cyclopentadiene will undergo ring enlargement to the aromatic nucleus of the postulated intermediate 34 , the discussed two Me groups have to appear at $\mathrm{C}(2)$

[^3]and $\mathrm{C}(16)$ in 32 according to the proposed mechanism. This is indeed the case. Heating of $1-\left[{ }^{2} \mathrm{H}_{3}\right] \mathrm{Me}-9^{5}$ ) in the described manner with ADM resulted in the formation of the expected isotopomeric $1: 1$ mixture of $2-$ and $16-\left[{ }^{2} \mathrm{H}_{3}\right] \mathrm{Me}-32$ (Scheme 13). The position of the $\left[{ }^{2} \mathrm{H}_{3}\right] \mathrm{Me}$ groups could easily be determined by ${ }^{1} \mathrm{H}-\mathrm{NMR}$ spectroscopy. The signals of $\mathrm{Me}-\mathrm{C}(2)$ and $\mathrm{Me}-(16)$ at 1.68 and 1.73 ppm , respectively, showed just half of the integrated intensities of the Me groups at $1.95(\mathrm{Me}-\mathrm{C}(11))$ and $1.90 \mathrm{ppm}(\mathrm{Me}-\mathrm{C}(13))$. All the other signals were unchanged. The assignment of all ${ }^{1} \mathrm{H}$-signals of 32 are based on corresponding ${ }^{1} \mathrm{H}-\mathrm{NOE}$ measurements. Noteworthy is the observation that irradiation of the signal of $\mathrm{Me}-\mathrm{C}(13)$ at 1.90 ppm caused a strong ${ }^{1} \mathrm{H}-\mathrm{NOE}$ only on $\mathrm{H}-\mathrm{C}(7)$ at 6.73 ppm , i.e., the spatial arrangement of the trans-configured $\mathrm{C}=\mathrm{C}$ bond is in solution just the same as in the crystals or, in other words, there is no easy rotation around the single bonds of the $C(6)=C(7)$ bond that would give rise to a pair of diastereoisomers.

Scheme 13


1-[ $\left.{ }^{2} \mathrm{H}_{3}\right] \mathrm{Me}-9$


2-[ $\left.{ }^{2} \mathrm{H}_{3}\right] \mathrm{Me}-32$
$+$

(1:1)
$16-\left[{ }^{2} \mathrm{H}_{3}\right] \mathrm{Me}-32$
a) The reaction was performed with 7.3 equiv. of A.DM in decalin.

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## Experimental Part

General. All reactions were performed under $\mathrm{N}_{2}$ or Ar atmosphere (purity $>99.998 \%$ ) in a Schlenk reaction vessel. The solvents were dried over NaH , destilled, and stored under $\mathrm{N}_{2}$. HPLC: on a Waters 991 instrument with a photodiode-array detector and a Bischof HPLC pump using a Spherisorb CN column (ODS $5 \mu \mathrm{~m}$, length 250 mm , diameter 4.5 mm ). Prep. HPLC: on a Du Pont 830 instrument with a Spherisorp $S 5 C N$ column (length 250 mm , diameter 20 mm ). M.p.: on Büchi FP5 (heating rate $3^{\circ} / \mathrm{min}$ ). The values are uncorrected. UV/VIS Spectra: on a Perkin-Elmer Lambda 9. IR Spectra: on a Perkin-Elmer FT-IR 1600 spectrophotometer (only bands with $>50 \%$ absorbance are reported). ${ }^{1} \mathrm{H}$ - and ${ }^{13} \mathrm{C}$-NMR spectra: on the Bruker spectrometers $A C 300, A R X$ $300, A M 400$, and $A M X 600$. Chemical shifts in ppm with respect to TMS $(=0)$. $I$ in Hz . The intensities in COSY and NOE measurements are characterized as: $\mathrm{s}=$ strong, $\mathrm{w}=\mathrm{weak}, \mathrm{m}=$ medium. All spectra were taken in $\mathrm{CDCl}_{3}$, with the signal of residual $\mathrm{CHCl}_{3}$ as internal standard ( $\delta=7.26 \mathrm{ppm}$ ). Anal. MS: Finnigan MAT SSQ 700 spectrometer (EI at 70 eV ). All X-ray crystal-structure analyses were performed on a Rigaku AFC 5 R diffractometer, with graphite monochromated $\operatorname{MoK}_{a}(I=0.71069 \AA)$ and $\mathrm{Cu}_{\alpha}(I=1.54178 \AA)$ radiation.

[^4]1. Synthesis of the Azulenes. - Azulene, 4,6,8-trimethylazulene and 5,7-dimethylazulene were prepared as described in [15-17]. 4,8-Dimethylazulene was prepared via two consecutive methylation (MeLi) and dehydrogenation steps from azulene [4]. Formylation of the azulenes at $\mathrm{C}(1)$ was realized via Vilsmeier reactions with $\mathrm{DMF} / \mathrm{POCl}_{3}$ [18] [19]. After hydrolysis, the products were extracted with $\mathrm{Et}_{2} \mathrm{O}$ and crystallized. Further reduction with $\mathrm{NaBH}_{4} / \mathrm{BF}_{3} \cdot \mathrm{Et}_{2} \mathrm{O}$ led to the corresponding 1-methylazulenes, which were extracted with $\mathrm{Et}_{2} \mathrm{O}$, filtered over a $\mathrm{Al}_{2} \mathrm{O}_{3}$ column (basic, act. IV) with hexane as mobile phase, and crystallized [1].

3,4,8-Trimethylazulene-1-carbaldehyde (5): violett crystals. ${ }^{1} \mathrm{H}-\mathrm{NMR}$ ( 300 MHz ): 10.64 (s, CHO ); 8.16 $(s, \mathrm{H}-\mathrm{C}(2)) ; 7.42\left(t\right.$-ike, $\left.\mathrm{H}-\mathrm{C}(6),{ }^{3} J=10.1\right) ; 7.27,7.25\left(2 d, \mathrm{H}-\mathrm{C}(5,7),{ }^{3} J=9.8\right) ; 3.13,3.08,2.82(3 s, 3 \mathrm{Me})$.

3,4,6,8-Tetramethylazulene-1-carbaldehyde (6): see [1].
5,7-Trimethylazulene-1-carbaldehyde (7) and 3,5,7-Trimethylazulene-1-carbaldehyde (8). See [4].
1,3,4,8-Tetramethylazulene (9): blue crystals. M.p. $112.0-113.0^{\circ} .{ }^{1} \mathrm{H}-\mathrm{NMR}(300 \mathrm{MHz}): 7.33(\mathrm{~s}, \mathrm{H}-\mathrm{C}(2))$; $7.07\left(t,{ }^{3} J=10.2, \mathrm{H}-\mathrm{C}(6)\right) ; 6.65\left(d,{ }^{3} J=10.9, \mathrm{H}-\mathrm{C}(5,7)\right) ; 2.98(s, \mathrm{Me}-\mathrm{C}(4,8)) ; 2.85(s, \mathrm{Me}-\mathrm{C}(1,3))$.
2. Thermal Reactions of the Azulenes with Dimethyl Acetylendicarboxylate (ADM). - General. All reactions were performed in decalin at $180-190^{\circ}$ for $1.5-2 \mathrm{~h}$ in a Schlenk reaction vessel. After heating, decalin and excess ADM were distilled off in vacuo.
2.1. Carbaldehyde 5. Compound $5(0.2 \mathrm{~g}, 1 \mathrm{mmol})$ was heated with $0.5 \mathrm{~g}(3.5 \mathrm{mmol})$ of ADM in 5 ml of decalin. The mixture was subjected to CC on silica gel with hexane $/ \mathrm{Et}_{2} \mathrm{O} 2: 3$ and collected in two fractions ( $R_{\mathrm{f}} 0.16,0.10$ ). Further purification of the first fraction with prep. HPLC (hexane $+10 \% \mathrm{i}-\mathrm{PrOH}, t_{\mathrm{R}}=14.5 \mathrm{~min}$ ) gave the main product 10 which was crystallized from $\mathrm{Et}_{2} \mathrm{O}$ at r.t. The second isomer 11 could only be obtained in a mixture with $\mathbf{1 0}$. Compound $\mathbf{1 2}$ could be crystallized from the second fraction from $\mathrm{Et}_{2} \mathrm{O}$ at r.t.

Dimethyl 2-Formyl-4,10,11-trimethyltricyclo[6.2.2.0 ${ }^{1,5}$ Jdodeca-2,4,6,9,11-pentaene-6,7-dicarboxylate (10): 25 mg ( $7.4 \%$ ). Yellow prisms. M.p. $140.0-142.6^{\circ}$. UV/VIS $\left(\mathrm{CH}_{2} \mathrm{Cl}_{2}\right): \lambda_{\max } 373(4.2) ; \lambda_{\min } 280$ (3.4). IR (KBr): $1727,1711,1660,1249,1218 .{ }^{1} \mathrm{H}-\mathrm{NMR}(300 \mathrm{MHz}): 9.88(s, \mathrm{CHO}) ; 7.38(s, \mathrm{H}-\mathrm{C}(3)) ; 6.03\left(d d,{ }^{3} J=6.77,{ }^{4} J=1.2\right.$, $\mathrm{H}-\mathrm{C}(9,12)) ; 4.39\left(t,{ }^{3} J=6.81, \mathrm{H}-\mathrm{C}(8)\right) ; 3.77,3.74\left(2 s, \mathrm{CO}_{2} \mathrm{Me}\right) ; 2.04(\mathrm{~s}, \mathrm{Me}-\mathrm{C}(4)) ; 1.39\left(d,{ }^{4} J=1.13\right.$, $\mathrm{Me}-\mathrm{C}(10,11)$ ). ${ }^{13} \mathrm{C}-\mathrm{NMR}$ ( 75 MHz ): 186.2(d, CHO); 168.3, $166.4\left(s, 2 \mathrm{CO}_{2} \mathrm{Me}\right) ; 157.1(d, \mathrm{CH}) ; 155.3,141.1$, 138.7, 132.0, $130.5\left(s, 5 \mathrm{C}\left(\mathrm{sp}^{2}\right)\right) ; 124.3(d, \mathrm{CH}) ; 68.8\left(s, \mathrm{C}\left(\mathrm{sp}^{3}\right)\right) ; 52.5,52.2\left(q, 2 \mathrm{CO}_{2} \mathrm{Me}\right) ; 38.6(d, \mathrm{CH}) ; 18.2,14.3$ ( $q, 2 \mathrm{Me}$ ). EI-MS: $340\left(22, M^{+}\right.$); $308(36) ; 293(100)$. Anal. calc. for $\mathrm{C}_{20} \mathrm{H}_{20} \mathrm{O}_{5}$ (340.37): C 70.58, H 5.92; found: C 70.93, H 5.00. X-Ray crystal structure of 10. See Fig. 1 and the Table.

Dimethyl 2-Formyl-4,6,11-trimethyltricyclo[6.2.2.0 ${ }^{1,5}$ ]dodeca-2,4,6,10,11-pentaene-9,10-dicaboxylate (11): ${ }^{1} \mathrm{H}-\mathrm{NMR}(300 \mathrm{MHz}): 9.76(\mathrm{~s}, \mathrm{CHO}) ; 7.41(s, \quad \mathrm{H}-\mathrm{C}(3)) ; 6.20\left(d q,{ }^{3} J=8.13,{ }^{4} J=1.36, \quad \mathrm{H}-\mathrm{C}(7)\right) ; 6.06$ $\left(d q,{ }^{3} J=8.52, \mathrm{H}-\mathrm{C}(12)\right) ; 4.24\left(d d,{ }^{3} J=6.67, \mathrm{H}-\mathrm{C}(8)\right) ; 3.74,3.61\left(2 s, \mathrm{CO}_{2} \mathrm{Me}\right) ; 2.32(s, \mathrm{Me}-\mathrm{C}(4)) ; 2.05$ $\left(d,{ }^{4} J=1.20, \mathrm{Me}-\mathrm{C}(6)\right) ; 1.52\left(d,{ }^{4} J=1.21, \mathrm{Me}-\mathrm{C}(11)\right)$.

Tetramethyl ( $2 a \mathrm{RS}, 8 a \mathrm{RS}, 8 b \mathrm{SR}, 8 c \mathrm{RS}$ )- 6 -Formyl- $2 a, 8 a, 8 b, 8 c$-tetrahydro-3,4,8c-trimethylcyclopenta/bc $7-$ acenaphthylene-2,2 a,7,8-tetracarboxylate (12): 12 mg ( $2.5 \%$ ). Bright-yellow crystals. M.p. 167.8-176.5${ }^{\circ}$. UV/VIS $\left(\mathrm{CH}_{2} \mathrm{Cl}_{2}\right): \lambda_{\text {max }} 438(\mathrm{sh}, 3.94), 400(\mathrm{sh}, 5.0), 378(5.1), 296(\mathrm{sh}, 4.9), 254(\mathrm{sh}, 4.9) ; \lambda_{\min } 322(4.6) . \mathrm{IR}(\mathrm{KBr}): 1736$, 1723, 1671, $1268,1205 .{ }^{1} \mathrm{H}-\mathrm{NMR}(300 \mathrm{MHz}): 9.66(\mathrm{~s}, \mathrm{CHO}) ; 6.56\left(d,{ }^{3} J=2.08, \mathrm{H}-\mathrm{C}(1)\right) ; 4.04\left(d d,{ }^{3} J=8.71\right.$, $\left.{ }^{3} J=2.16, \quad \mathrm{H}-\mathrm{C}(8 \mathrm{a})\right) ; 3.85,3.77,3.76,3.72\left(4 \mathrm{~s}, \mathrm{CO}_{2} \mathrm{Me}\right) ; 2.98\left(d,{ }^{3} J=8.71, \mathrm{H}-\mathrm{C}(8 \mathrm{~b})\right) ; 2.22,1.29,1.05$ ( 3.5 , $\mathrm{Me}-\mathrm{C}(4), \mathrm{Me}-\mathrm{C}(3), \mathrm{Me}-\mathrm{C}(8 \mathrm{c})$ ). ${ }^{13} \mathrm{C}-\mathrm{NMR}$ ( 75 MHz ): 188.1 ( $d, \mathrm{CHO}$ ); 172.4, 167.3, 166.1, $162.9(s, 4$ $\left.\mathrm{CO}_{2} \mathrm{Me}\right) ; 143.7,141.2\left(\mathrm{~s}, 2 \mathrm{C}\left(\mathrm{sp}^{2}\right)\right) ; 140.9(d, \mathrm{CH}) ; 139.1,136.8,136.2,135.8,134.1,130.8\left(\mathrm{~s}, 6 \mathrm{C}\left(\mathrm{sp}^{2}\right)\right) ; 118.9(d$, $\mathrm{CH}) ; 73.1\left(\mathrm{C}\left(\mathrm{sp}^{3}\right)\right) ; 51.7(d, \mathrm{CH}) ; 51.5,51.4,50.8,50.6\left(q, 4 \mathrm{CO}_{2} \mathrm{Me}\right) ; 27.0,19.7,13.5(q, 3 \mathrm{Me})$. EI-MS: 482(39, $M^{+}$), $450(28), 435(27), 375(100)$. Anal. calc. for $\mathrm{C}_{26} \mathrm{H}_{26} \mathrm{O}_{9}$ (482.50): C 64.72, H 5.43; found: C 64.48, H 5.69. X-Ray crystal-structure of 12; see Fig. 2 and the Table.
2.1.1. Thermal Reaction of Tricyclic Compound 10 with ADM. Compound $\mathbf{1 0}(5 \mathrm{mg}, 0.015 \mathrm{mmol})$ and ADM $(7 \mathrm{mg}, 0.05 \mathrm{mmol})$ were heated in 1 ml of decalin $\left(1.5 \mathrm{~h}, 180^{\circ}\right)$. The mixture was analyzed by anal. HPLC (SC-04 $125 \times 4 \mathrm{~mm}$, Spherisorp CN 3.0 mm ; hexane $+\mathbf{1 0 \%}$ i-PrOH). Comparison of the HPLC peaks and their UV of the mixture with that of pure $\mathbf{1 2}$ revealed that the peak with $t_{\mathrm{R}} 5.65 \mathrm{~min}$ of the mixture represented $\mathbf{1 2}$. The main component in the mixture was still the starting material 10 . A third peak with $t_{\mathrm{R}} 7.48 \mathrm{~min}$ could represent the corresponding pentacyclic carbaldehyde of type 18 , which was not isolated from the original mixture of 5 and ADM.
2.2. Carbaldehyde 6. Compound $6(0.150 \mathrm{~g}, 0.70 \mathrm{mmol})$ and ADM $(0.4 \mathrm{~g}, 2.8 \mathrm{mmol})$ were heated in 5 ml of decalin. CC of the residue on silica gel $\left(\mathrm{Et}_{2} \mathrm{O} /\right.$ hexane $\left.9: 1\right)$ gave three fractions $\left(R_{\mathrm{f}} 0.35,0.27,0.20\right)$. First fraction contained compounds 16,18 , and 19 , which could be separated by fractional crystallization from $\mathrm{Et}_{2} \mathrm{O}$ at $-20^{\circ}$. The last two fractions were combined and purified by prep. HPLC (hexane $+15 \% \mathrm{i}-\mathrm{PrOH}$ ) to obtain two further compounds, 15 and 17, in pure form as oils.

Tetramethyl 8-Formyl-2,6,11,13-tetramethyltetracyclo[6.2.2.2.5.0 ${ }^{1.7}$ Jtetradeca-3,6,9,11,13-pentaene-3,4,9,10tetracarhoxylate (16): $4 \mathrm{mg}(1.3 \%)$. In two modifications: colorless needles, m.p. $109^{\circ}$; colorless cubes, m.p.
162.1-164.9 ${ }^{\circ}$. UV/VIS $\left(\mathrm{CH}_{2} \mathrm{Cl}_{2}\right): \lambda_{\text {max }} 363(3.7) ; \lambda_{\min } 309(3.3) . \mathrm{IR}(\mathrm{KBr}): 1722,1434,1340,1319,1257,1227$. ${ }^{1} \mathrm{H}-\mathrm{NMR}(300 \mathrm{MHz}): 10.05(\mathrm{~s}, \mathrm{CHO}) ; 6.70\left(q\right.$-like, ${ }^{4} J=1.42, \mathrm{H}-\mathrm{C}(12)$ ); $5.60\left(q-\mathrm{like},{ }^{4} J=1.41, \mathrm{H}-\mathrm{C}(14)\right.$ ); 3.81, 3.72, 3.66, $3.64\left(4 s, \mathrm{CO}_{2} \mathrm{Me}\right) ; 3.32\left(q\right.$-like, $\left.{ }^{4} J=1.47, \mathrm{H}-\mathrm{C}(5)\right) ; 2.02\left(d,{ }^{4} J=1.38, \mathrm{Me}\right) ; 1.80\left(d,{ }^{4} J=1.1, \mathrm{Me}\right)$; $1.65(s, \mathrm{Me}-\mathrm{C}(12)) ; 1.47(s, \mathrm{Me}-\mathrm{C}(8))$. ${ }^{13} \mathrm{C}$-NMR ( 75 MHz ): $195.6(d, \mathrm{CHO}$ ); $169.2,168.4,166.2,165.2$ $\left(s, 4 \mathrm{CO}_{2} \mathrm{Me}\right) ; 162.8,154.3,146.8,143.0,140.4\left(s, 5\left(\mathrm{C}\left(\mathrm{sp}^{2}\right)\right) ; 136.7,129.9(d, 2 \mathrm{CH}) ; 129.1,107.2\left(s, 2 \mathrm{C}\left(\mathrm{sp}^{2}\right)\right) ; 70.2\right.$, $66.2\left(s, \mathrm{C}\left(\mathrm{sp}^{3}\right)\right) ; 52.9,52.8,52.5,48.0\left(q, 4 \mathrm{CO}_{2} \mathrm{Me}\right) ; 46.2(d, \mathrm{CH}) ; 45.2,43.2\left(s, 2 \mathrm{C}\left(\mathrm{sp}^{3}\right)\right) ; 21.4,20.5,18.3,14.0$ ( $q, 4 \mathrm{Me}$ ). EI-MS: $496\left(M^{+-}, 56\right), 464(100), 404(44)$.

Tetramethyl 4-Formyl-2,8,11,12-tetramethylpentacyclo[6.3.3.0. $0^{1,5} \cdot 0^{9.11} .0^{10,13} .0^{12,14}$ Jtetradeca-2,4,6-triene-$6,7,10,13$-tetracarboxylate (18): 12 mg ( $3.6 \%$ ). Yellow-orange crystals. M.p. $168.0-168.6^{\circ}$. UV/VlS $\left(\mathrm{CH}_{2} \mathrm{Cl}_{2}\right)$ : $\lambda_{\text {max }} 363(4.1), 263(\mathrm{sh}, 3.8) ; \lambda_{\text {min }} 307(4.1)$. IR (KBr): 1740, 1731, 1672, 1435, 1318, 1245, 1201, 1174, ${ }^{1} \mathrm{H}-\mathrm{NMR}$ $(300 \mathrm{MHz}): 9.81(s, \mathrm{CHO}) ; 6.74\left(q,{ }^{4} J=1.82, \mathrm{H}-\mathrm{C}(3)\right) ; 3.82,3.80,3.76\left(3 s, 1: 1: 2, \mathrm{CO}_{2} \mathrm{Me}\right) ; 2.10\left(d,{ }^{4} J=1.42\right.$, $\mathrm{Me}-\mathrm{C}(2)) ; 1.77(s, \mathrm{H}-\mathrm{C}(9,12)) ; 1.48(s, \mathrm{Me}-\mathrm{C}(8)) ; 0.70(s, \mathrm{Me}-\mathrm{C}(11,12)) .{ }^{13} \mathrm{C}-\mathrm{NMR}(75 \mathrm{MHz}): 185.2(d, \mathrm{CHO})$; $168.4\left(s, \mathrm{CO}_{2} \mathrm{Me}\right) ; 167.5\left(s, \mathrm{CO}_{2} \mathrm{Me}\right) ; 151.8,146.5,142.6,140.3,128.3\left(s, 5 \mathrm{C}\left(\mathrm{sp}^{2}\right)\right) ; 126.8(d, \mathrm{CH}) ; 72.9,65.3$ $\left(s, 2 \mathrm{C}\left(\mathrm{sp}^{3}\right)\right) ; 52.4,51.9,51.7\left(q, 3 \mathrm{CO}_{2} \mathrm{Me}\right) ; 47.2\left(s, \mathrm{C}\left(\mathrm{sp}^{3}\right)\right) ; 46.2(d, \mathrm{CH}) ; 45.4,44.4\left(s, 2 \mathrm{C}\left(\mathrm{sp}^{3}\right)\right) ; 23.6,14.7,13.2$ ( $q, 3 \mathrm{Me}$ ). EI-MS: $496\left(43, M^{+}\right), 464(100), 432(36), 404(51)$. Anal. calc. for $\mathrm{C}_{27} \mathrm{H}_{28} \mathrm{O}_{9}(496.51): \mathrm{C} 67.49, \mathrm{H} 5.87$; found: C 67.31, H 6.13. X-Ray crystal structure of 18: see Fig. 3 and the Table.

Tetramethyl ( $2 a \mathrm{RS}, 8 a \mathrm{RS}, 8 b \mathrm{SR}, 8 \mathrm{RS}$ )-6-Formyl-2a,8a,8b,8c-tetrahydro-3,4,8a,8c-tetramethylcyclopenta-[bc]acenaphthylene-2,2a,7,8-tetracarboxylate (19): $6 \mathrm{mg}(1.8 \%)$. Bright-yellow crystals. M.p. 222.0-222.3 ${ }^{\circ}$ UV/ VIS ( $\mathrm{CH}_{2} \mathrm{Cl}_{2}$ ): $\lambda_{\text {max }} 399(3.7), 278(4.1), 244(\mathrm{sh}, 4.2) ; \lambda_{\text {min }} 334(3.2), 265.9(4.1) . \mathrm{IR}(\mathrm{KBr}): 1727,1673,1260,1172$. ${ }^{1} \mathrm{H}-\mathrm{NMR}(300 \mathrm{MHz}): 9.52(s, \mathrm{CHO}) ; 6.67(s, \mathrm{H}-\mathrm{C}(1)) ; 6.35\left(q-\mathrm{like},{ }^{4} J=1.1, \mathrm{H}-\mathrm{C}(5)\right) ; 3.86,3.79,3.73,3.71$ ( $4 \mathrm{~s}, \mathrm{CO}_{2} \mathrm{Me}$ ); $2.59(s, \mathrm{H}-\mathrm{C}(8 \mathrm{~b})) ; 2.23\left(d,{ }^{4} J=1.1, \mathrm{Me}-\mathrm{C}(4)\right) ; 2.02,1.34,1.28(3 \mathrm{~s}, \mathrm{Me}-\mathrm{C}(3), \mathrm{Me}-\mathrm{C}(8 \mathrm{a})$, $\mathrm{Me}-\mathrm{C}(8 \mathrm{c})) . \quad{ }^{1} \mathrm{H}-\mathrm{NOE} \quad(400 \mathrm{MHz}): \quad 1.28(\mathrm{Me}-\mathrm{C}(8 \mathrm{c})) \rightarrow 2.59(\mathrm{~s}, \quad \mathrm{H}-\mathrm{C}(8 \mathrm{~b})) ; \quad 1.34(\mathrm{Me}-\mathrm{C}(8 \mathrm{a})) \rightarrow 2.59$ $(\mathrm{s}, \mathrm{H}-\mathrm{C}(8 \mathrm{~b})) ; 6.67(\mathrm{~s}, \mathrm{H}-\mathrm{C}(1)) ; 2.02(\mathrm{Me}-\mathrm{C}(3)) \rightarrow 2.23(\mathrm{~s}, \mathrm{Me}-\mathrm{C}(4)) ; 2.23(\mathrm{Me}-\mathrm{C}(4)) \rightarrow 6.35(\mathrm{~s}, \mathrm{H}-\mathrm{C}(5))$, $2.02(\mathrm{Me}-\mathrm{C}(3)) ; 2.59(\mathrm{H}-\mathrm{C}(8 \mathrm{~b})) \rightarrow 1.28(\mathrm{~s}, \mathrm{Me} \rightarrow \mathrm{C}(8 \mathrm{c})), 1.34(\mathrm{~s}, \mathrm{Me}-\mathrm{C}(8 \mathrm{a})) .{ }^{13} \mathrm{C}-\mathrm{NMR}(75 \mathrm{MHz}): 188.9$ (d, CHO ); $174.2,167.8,167.6,164.1\left(s, 4 \mathrm{CO}_{2} \mathrm{Me}\right) ; 146.6(d, \mathrm{CH}) ; 145.3,143.8,142.1,137.1,136.5,136.4,130.5$, $129.5\left(s, 8 \mathrm{C}\left(\mathrm{sp}^{2}\right)\right) ; 119.9(d, \mathrm{CH}) ; 74.1,61.3\left(s, 2 \mathrm{C}\left(\mathrm{sp}^{3}\right)\right) ; 52.7,52.6,52.4,51.8\left(q, 4 \mathrm{CO}_{2} \mathrm{Me}\right) ; 51.6(d, \mathrm{CH}) ; 47.7(s$, $\left.\mathrm{C}\left(\mathrm{sp}^{3}\right)\right) ; 27.9,25.8,20.7,14.7(q, 4 \mathrm{Me})$. EI-MS: $496\left(62, M^{+}\right), 449(38), 421(100)$. Anal. calc. for $\mathrm{C}_{27} \mathrm{H}_{28} \mathrm{O}_{9}$ (496.51): C 67.49, H 5.87 ; found: C 67.81, H 5.21.

Tetramethyl 11-Formyl-2,6,8,13-tetramethyltetracyclo[6.2.2.2.50 $0^{1,7}$ ]tetradeca-3,6,9,11,13-pentaene-3,4,9,10tetracarboxylate (15): $t_{\mathrm{R}} 7.5 \mathrm{~min} .{ }^{1} \mathrm{H}-\mathrm{NMR}(300 \mathrm{MHz}): 9.52(s, \mathrm{CHO}) ; 7.66\left(s, \mathrm{H}-\mathrm{C}(12)\right.$ ); $5.67\left(q-\right.$ like, ${ }^{4} J=1.4$, $\mathrm{H}-\mathrm{C}(13)$ ); $3.35\left(q\right.$-like, $\left.{ }^{4} J=2.01, \mathrm{H}-\mathrm{C}(5)\right) ; 3.81,3.73,3.71,3.70\left(4 \mathrm{~s}, \mathrm{CO}_{2} \mathrm{Me}\right) ; 1.89(s, \mathrm{Me}) ; 1.87\left(d,{ }^{4} J=1.29\right.$, $\mathrm{Me}-\mathrm{C}(13)) ; 1.86(s, \mathrm{Me}) ; 1.77(s, \mathrm{Me})$.

Dimethyl 2-Formyl-4,6,8,11-tetramethyltricyclo[6.2.2.0 ${ }^{1,5}$ ]dodeca-2,4,6,9,11-pentaene-9,10-dicarboxylate (17): yellow oil. $t_{\mathrm{R}} 8.5 \mathrm{~min} .{ }^{1} \mathrm{H}-\mathrm{NMR}(300 \mathrm{MHz})$ : $9.85(s, \mathrm{CHO}) ; 7.19(s, \mathrm{H}-\mathrm{C}(3)) ; 5.6\left(q\right.$-like, ${ }^{4} J=1.44$, $\mathrm{H}-\mathrm{C}(12)) ; 3.70,3.48\left(2 s, \mathrm{CO}_{2} \mathrm{Me}\right) ; 5.58\left(q-\right.$ like, $\left.{ }^{4} J=1.42, \mathrm{H}-\mathrm{C}(7)\right) ; 2.26(s, \mathrm{Me}-\mathrm{C}(4)) ; 1.98\left(d,{ }^{4} J=1.29\right.$, $\mathrm{Me}-\mathrm{C}(6)) ; 1.40(\mathrm{~s}, \mathrm{Me}-\mathrm{C}(8)) ; 1.36\left(d,{ }^{4} J=1.38, \mathrm{Me}-\mathrm{C}(11)\right.$.
2.3. Carbaldehyde 7. Compound $7(0.63 \mathrm{~g}, 0.32 \mathrm{mmol})$ and $\mathrm{ADM}(0.184 \mathrm{~g}, 1.3 \mathrm{mmol})$ were heated in 2 ml of decalin. The residue was subjected to CC on silica gel (hexane/ $\mathrm{Et}_{2} \mathrm{O} 9: 1$ ) and collected in two fractions. The first fraction had to be further purified on HPLC (hexane $+10 \% \mathrm{i}-\mathrm{PrOH}$ ) to get 'anti' $\mathbf{2 6}$ ( $t_{\mathrm{R}} \mathbf{1 7 \mathrm { min } \text { ), which could }}$ be crystallized from $\mathrm{Et}_{2} \mathrm{O}$ at $-20^{\circ}$. The second fraction contained 'anti'-27, which could be also crystallized from $\mathrm{Et}_{2} \mathrm{O}$ at r.t.

Tetramethyl 2-Formyl-7,9-dimethyltetracyclo[6.2.2.2 $2^{2,5} .0^{1,5}$ Itetradeca-3,6,9,11,13-pentaene-3,4,9,10-tetracarboxylate ('anti'-26): $2 \mathrm{mg}\left(1.3 \%\right.$ ). Colorless crystal powder. M.p. $118-119.5^{\circ}$. UV/VIS (MeOH) : $\hat{\lambda}_{\max } 250.6$ (sh, 3.5). IR (KBr): $2360,1733,1716,1684,1653,1288 .{ }^{1} \mathrm{H}-\mathrm{NMR}(300 \mathrm{MHz}): 10.05(\mathrm{~s}, \mathrm{CHO}) ; 6.93\left(d,{ }^{3} J=5.1\right.$, $\mathrm{H}-\mathrm{C}(14)) ; 6.47\left(d,{ }^{3} J=5.2, \mathrm{H}-\mathrm{C}(13)\right) ; 6.27$ (quint.-like, $\left.{ }^{4} J=1.5, \mathrm{H}-\mathrm{C}(11)\right) ; 5.40(s, \mathrm{H}-\mathrm{C}(6)) ; 3.71,3.86,3.67$, $3.61\left(4 s, \mathrm{CO}_{2} \mathrm{Me}\right) ; 3.21(s, \mathrm{H}-\mathrm{C}(8)) ; 1.93\left(d,{ }^{4} J=1.2, \mathrm{Me}\right) ; 1.80\left(d,{ }^{4} J=1.3, \mathrm{Me}\right)$.

Tetramethyl 13-Formyl-7,12-dimethyltetracyclo[6.2.2.2 2,5.01.5 Itetradeca-3,4,9,10-pentaene-11,12,13,14-te- $^{2}$ tracarboxylate ('anti'-27): 12 mg ( $8 \%$ ). Colorless crystals. M.p. $155.7-157.9^{\circ}$. UV/VIS (MeOH): $\lambda_{\text {max }} 230.6$ (sh, 3.8). IR (KBr): 1721, 1684, 1432, 1314, 1266, 1219, 1112. ${ }^{1} \mathrm{H}-\mathrm{NMR}(300 \mathrm{MHz}): 9.68(s, \mathrm{CHO}) ; 7.61$ $\left(d,{ }^{3} J=3.59, \mathrm{H}-\mathrm{C}(14)\right) ; 5.84\left(q\right.$-like, $\left.{ }^{4} J=1.49, \mathrm{H}-\mathrm{C}(6), \mathrm{H}-\mathrm{C}(11)\right) ; 4.52\left(d,{ }^{3} J=3.63, \mathrm{H}-\mathrm{C}(2)\right) ; 3.78,3.75,3.74$, $\left(3 \mathrm{~s}, \mathrm{CO}_{2} \mathrm{Me}\right) ; 3.32\left(t-\mathrm{like},{ }^{4} J=1.2, \mathrm{H}-\mathrm{C}(8)\right) ; 1.99\left(d,{ }^{4} J=1.2, \mathrm{Me}\right) ; 1.86\left(d,{ }^{4} J=1.3, \mathrm{Me}\right) .{ }^{13} \mathrm{C}-\mathrm{NMR}(75 \mathrm{MHz}):$ $185.8(d, \mathrm{CHO}) ; 167.3,165.3,164.4,163.2\left(s, 4 \mathrm{CO}_{2} \mathrm{Me}\right) ; 160.2(d, \mathrm{CH}) ; 154.4,154.3,147.7,144.3,143.2,141.1$, $139.8\left(s, 7 \mathrm{C}\left(\mathrm{sp}^{2}\right)\right) ; 123.6,115.3(d, 2 \mathrm{CH}) ; 89.7,66.4\left(s, 2 \mathrm{C}\left(\mathrm{sp}^{3}\right)\right) ; 56.6(d, \mathrm{CH}) ; 52.3,52.25,52.19,52.13$ ( $q, 4 \mathrm{CO}_{2} \mathrm{Me}$ ); $48.6(d, \mathrm{CH}) ; 27.2,21.0(q, 2 \mathrm{Me})$. X-Ray crystal structure of 'anti'-27: see the Table.
2.4. Carbaldehyde 8 . Compound $8(0.203 \mathrm{~g}, 1.03 \mathrm{mmol})$ and ADM $(0.7 \mathrm{~g}, 4.9 \mathrm{mmol})$ were heated in 10 ml of decalin. After the reaction, CC of the mixture on silica gel with $\mathrm{Et}_{2} \mathrm{O} /$ hexane $9: 1$ gave two fractions. The first
fraction yielded compound 'anti'-28 as light-yellow crystals after recrystallization from EtOH. The second fraction contained a mixture of both isomers 'anti'-28 and 'anti'-29. Further purification with HPLC (hexane $+10 \%$ $\mathrm{i}-\mathrm{PrOH})$ led to 'anti'-29 as a light-yellow crystal powder.

Tetramethyl 2-Formyl-7,12,13-trimethyltetracyclo[6.2.2.2 ${ }^{2,5} .0^{1,5} /$ tetradeca-3,6,9,11,13-pentaene-3,4,9,10-tetracarboxylate ('anti'-28): 110 mg ( $23 \%$ ). Light-yellow crystals. M.p. 163.2-165.4 ${ }^{\circ}$. UV/VIS (MeOH): $\lambda_{\text {max }}$ 249.7 (sh, 3.5). IR (KBr): 2911, 1720, 1633, 1614, 1437, 1294, 1270, 1249, 1199, 1065. ${ }^{1} \mathrm{H}-\mathrm{NMR}$ ( 300 MHz ): $10.10(s, \mathrm{CHO}) ; 6.47$ (q-like, ${ }^{4} J=1.87, \mathrm{H}-\mathrm{C}(14)$ ); 6.23 (quint.-like, ${ }^{4} J=1.43, \mathrm{H}-\mathrm{C}(11)$ ); 5.29 (quint.-like, $\mathrm{H}-\mathrm{C}(6)) ; 3.77 ; 3.74,3.75,3,65\left(4 \mathrm{~s}, \mathrm{CO}_{2} \mathrm{Me}\right) ; 3.32(t$-like, $\mathrm{H}-\mathrm{C}(8)) ; 2.01\left(d,{ }^{4} J=1.0\right.$, Me); 1.87 ( $d$-like, Me); $1.78\left(d,{ }^{4} j=1.74, \mathrm{Me}\right) .{ }^{13} \mathrm{C}-\mathrm{NMR}(75 \mathrm{MHz}): 194.7(d, \mathrm{CHO}) ; 166.2,164.1,162.9,161.9\left(\mathrm{~s}, 4 \mathrm{CO}_{2} \mathrm{Me}\right) ; 151.8$, $151.1,145.8,144.2,141.7,139.9,138.9\left(s, 7 \mathrm{C}\left(\mathrm{sp}^{2}\right)\right) ; 129.2,121.9,113.1(d, 3 \mathrm{CH}) ; 88.9,71.8,65.9\left(s, 3 \mathrm{C}\left(\mathrm{sp}^{3}\right)\right) ; 50.6$, $50.4,50.3,50.1\left(4 \mathrm{q}, \mathrm{CO}_{2} \mathrm{Me}\right)$; $46.6(d, \mathrm{CH}) ; 25.4,19.4,13.1(3 q, \mathrm{Me})$. EI-MS: $482\left(\mathrm{M}^{+\cdot}\right.$ not visible $)$, $451([M-\mathrm{OMe}],<10) ; 423\left(\left[M-\mathrm{CO}_{2} \mathrm{Me}\right], 100\right)$. Anal. calc. for $\mathrm{C}_{26} \mathrm{H}_{26} \mathrm{O}_{9} \cdot 0.5 \mathrm{H}_{2} \mathrm{O}(491.49)$ : C 63.54, H 5.54; found: C 63.45, H 5.64. X-Ray crystal structure of 'anti'-28: see the Table.

Tetramethyl 13-Formyl,2,7,12-trimethyltetracyclo[6.2.2.2 ${ }^{2,5} .0^{1.5}$ ]tetradeca-3,6,9,11,13-pentaen-3,4,9,10-tetracarboxylate ('anti'-29): IR (KBr): 1727, 1712, 16.02, 1580, 1430, 1323. ${ }^{1} \mathrm{H}-\mathrm{NMR}(300 \mathrm{MHz}): 9.68(s, \mathrm{CHO}) ;$ $7.43(s, \mathrm{H}-\mathrm{C}(14)) ; 6.02(\mathrm{~s}, \mathrm{H}-\mathrm{C}(11)), 5.81\left(q-\mathrm{like},{ }^{4} \mathrm{~J}=1.25, \mathrm{H}-\mathrm{C}(6)\right) ; 3.81,3.73,3.72,3.65\left(4 \mathrm{~s}, \mathrm{CO}_{2} \mathrm{Me}\right) ; 3.42$ $(s, \mathrm{H}-\mathrm{C}(8)) ; 1.98\left(d,{ }^{4} J=1.1, \mathrm{Me}\right) ; 1.88\left(d,{ }^{4} J=0.85, \mathrm{Me}\right) ; 1.69(\mathrm{~s}, \mathrm{Me})$.
2.5. Azulene 9. A mixture of $9(0.32 \mathrm{~g}, 174 \mathrm{mmol})$ and ADM ( $1.16 \mathrm{~g}, 8.2 \mathrm{mmol}$ ) was heated at $180^{\circ}$ in 5 ml of decalin. CC on silica gel with $\mathrm{Et}_{2} \mathrm{O} /$ hexane $4: 1$ gave three fractions $\left(R_{\mathrm{f}} 0.32,0.27,0.20\right)$. First fraction contained compound 30 which was obtained from $\mathrm{Et}_{2} \mathrm{O}$ as a colorless crystal powder. The second fraction was further purified by HPLC (hexane $+10 \% \mathrm{i}-\mathrm{PrOH}, t_{\mathrm{R}} 15 \mathrm{~min}$ ) to give colorless crystals ( $\mathrm{Et}_{2} \mathrm{O}$, r.t.) of 31. The last fraction yielded orange-colored crystals 32 which were also crystallized from $\mathrm{Et}_{2} \mathrm{O}$ at r.t.

Dimethyl (2RS, $8 \mathrm{SR}, 9 \mathrm{SR}, 12 \mathrm{SR}$ )-2,4,12,13-Tetramethyltetracyclo[6.4.2.0 ${ }^{1,5} \cdot 0^{9,12}$ ]tetradeca-2,4,6,10,13-pen-taene- $6,7,10,11$-tetracarboxylate (30): 55 mg ( $6.5 \%$ ). Colorless crystal powder. M.p. 171.1-172.7 ${ }^{\circ}$. UV/VIS $\left(\mathrm{CH}_{2} \mathrm{Cl}_{2}\right): \lambda_{\text {max }} 361.1(4.2)$; $\lambda_{\text {min }} 280.9(3.1)$. IR (KBr): $1734,1718,1705,1640,1435,1251 .{ }^{1} \mathrm{H}-\mathrm{NMR}(300 \mathrm{MHz}):$ $6.17\left(q\right.$-like, $\left.{ }^{4} J=1.46, \mathrm{H}-\mathrm{C}(3)\right) ; 5.68\left(d d,{ }^{3} J=7.05,{ }^{4} J=0.6, \mathrm{H}-\mathrm{C}(14)\right) ; 4.18\left(d d,{ }^{3} J=7.65,{ }^{4} J=2.3, \mathrm{H}-\mathrm{C}(8)\right)$; $3.84,3.80,3.77,3.76\left(4 s, \mathrm{CO}_{2} \mathrm{Me}\right) ; 2.64\left(d,{ }^{4} J=2.05, \mathrm{H}-\mathrm{C}(9)\right) ; 1.98(s, \mathrm{Me}-\mathrm{C}(4)) ; 1.92\left(d,{ }^{4} J=1.27, \mathrm{Me}-\mathrm{C}(2)\right)$; $1.55\left(d,{ }^{4} J=1.55, \mathrm{Me}-\mathrm{C}(13)\right) ; 1.03(s, \mathrm{Me}-\mathrm{C}(12)) . \operatorname{COSY}\left({ }^{1} \mathrm{H},{ }^{1} \mathrm{H}, 300 \mathrm{MHz}\right): 1.03(\mathrm{Me}-\mathrm{C}(12) \rightarrow 2.64$ $(\mathrm{w}, \mathrm{H}-\mathrm{C}(9)) ; 1.55(\mathrm{Me}-\mathrm{C}(13)) \rightarrow 5.68(\mathrm{~s}, \mathrm{H}-\mathrm{C}(14)) ; 1.92(\mathrm{Me}-\mathrm{C}(2)) \rightarrow 6.17(\mathrm{~s}, \mathrm{H}-\mathrm{C}(3)) ; 1.98(\mathrm{Me}-\mathrm{C}(4))$ $\rightarrow 6.17(\mathrm{~s}, \mathrm{H}-\mathrm{C}(3)) ; 2.64(\mathrm{H}-\mathrm{C}(9)) \rightarrow 4.18(\mathrm{~s}, \mathrm{H}-\mathrm{C}(8)) ; 2.64(\mathrm{H}-\mathrm{C}(9)) \rightarrow 5.68(\mathrm{~s}, \mathrm{H}-\mathrm{C}(14))$. NOESY ( 300 MHz ): $1.03(\mathrm{Me}-\mathrm{C}(12)) \rightarrow 1.92(\mathrm{w}, \quad \mathrm{Me}-\mathrm{C}(2)), \quad 2.64(\mathrm{~s}, \quad \mathrm{H}-\mathrm{C}(9)), \quad 6.17(\mathrm{w}, \quad \mathrm{H}-\mathrm{C}(3)) ; \quad 1.55(\mathrm{Me}-\mathrm{C}(13)) \rightarrow 1.92$ $(\mathrm{s}, \mathrm{Me}-\mathrm{C}(2)), 5.68(\mathrm{~s}, \mathrm{H}-\mathrm{C}(14)) ; 1.92(\mathrm{Me}-\mathrm{C}(2)) \rightarrow 1.03(\mathrm{w}, \mathrm{Me}-\mathrm{C}(12)), 1.55(\mathrm{~s}, \mathrm{Me}-\mathrm{C}(13)$ ), $3.77(\mathrm{~s}, \mathrm{MeO})$, $6.17(\mathrm{~s}, \mathrm{H}-\mathrm{C}(3)) ; 1.98(\mathrm{Me}) \rightarrow 3.80(\mathrm{~s}, \mathrm{MeO}), 6.17(\mathrm{~s}, \mathrm{H}-\mathrm{C}(3)) ; 2.64(\mathrm{H}-\mathrm{C}(9)) \rightarrow 1.03(\mathrm{~s}, \mathrm{Me}-\mathrm{C}(12)), 4.18$ $(\mathrm{s}, \mathrm{H}-\mathrm{C}(8)) ; 4.18(\mathrm{H}-\mathrm{C}(8)) \rightarrow 2.64(\mathrm{~s}, \mathrm{H}-\mathrm{C}(9)), 5.68(\mathrm{~s}, \mathrm{H}-\mathrm{C}(14))$. $\mathrm{COSY}\left({ }^{1} \mathrm{H},{ }^{13} \mathrm{C}, 150 \mathrm{MHz}\right): 6.17(\mathrm{H}-\mathrm{C}(3))$ $\rightarrow 138.6(\mathrm{C}(3)) ; 5.68(\mathrm{H}-\mathrm{C}(14)) 119.9(\mathrm{C}(14)) ; 4.18(\mathrm{H}-\mathrm{C}(8)) \rightarrow 35.8(\mathrm{C}(8)) ; 2.64(\mathrm{H}-\mathrm{C}(9)) \rightarrow 46.9(\mathrm{C}(9)) .{ }^{13} \mathrm{C}-\mathrm{NMR}$ ( 150 MHz ): 169.5, 166.9, 164.5, $161.3\left(s, 4 \mathrm{CO}_{2} \mathrm{Me}\right) ; 155.7(\mathrm{C}(4)) ; 149.5(\mathrm{C}(11)) ; 146.8(\mathrm{C}(2)) ; 138.6(d, C(3))$; $136.7(\mathrm{C}(10)) ; 136.4 \mathrm{C}(5)) ; 136.3(\mathrm{C}(7)) ; 132.6(\mathrm{C}(13)) ; 123.9(\mathrm{C}(6)) ; 119.9(d, \mathrm{C}(14)), 69.9(s, \mathrm{C}(12)) ; 52.4,52.2,51.9$, $51.8\left(q, 4 \mathrm{CO}_{2} \mathrm{Me}\right) ; 51.3(s, \mathrm{C}(1)) ; 46.9(d, \mathrm{C}(9)) ; 35.8(d, \mathrm{C}(8)) ; 19.8,16.8,16.1,14.7(q, 4 \mathrm{Me})$. EI-MS: $468(96$, $M^{+`}$ ), $241(100)$. Anal. calc. for $\mathrm{C}_{26} \mathrm{H}_{28} \mathrm{O}_{8}$ (468.18): C 66.64, H 6.03; found C 65.87, H 5.80.

Tetramethyl 2,4,11,12-Tetramethylpentacyclo[6.3.3.0 $0^{1,5} \cdot 0^{9,11} \cdot 0^{10,13} .0^{12,14}$ Jtetradeca-2,4,6-triene-6,7,10,13tetracarboxylate (31): $25 \mathrm{mg}(3 \%)$. Colorless crystals. M.p. $137.2-150.1^{\circ}$. UV/VIS $\left(\mathrm{CH}_{2} \mathrm{Cl}_{2}\right): \lambda_{\max } 355.9(4.4) \lambda_{\text {min }}$ 288.8 (3.3). IR (KBr): 1727, 1701, 1547, 1432, 1317, 1285, 1252, 1283, 1137. ${ }^{1} \mathrm{H}-\mathrm{NMR}(400 \mathrm{MHz}): 6.20(q$-like, $\left.{ }^{4} J=1.48, \mathrm{H}-\mathrm{C}(3)\right) ; 4.20\left(t,{ }^{3} J=5.48, \mathrm{H}-\mathrm{C}(8)\right) ; 3.88,3.75,3.73\left(3 s, \mathrm{CO}_{2} \mathrm{Me}\right) ; 2.07(s, \mathrm{Me}-\mathrm{C}(4)) ; 2.06$ $\left(d,{ }^{4} J=1.24, \mathrm{Me}-\mathrm{C}(2)\right) ; 1.84\left(d,{ }^{3} J=5.47, \mathrm{H}-\mathrm{C}(9,14)\right) ; 0.66(s, \mathrm{Me}-\mathrm{C}(11,12)) .{ }^{13} \mathrm{C}-\mathrm{NMR}(75 \mathrm{MHz}): 170.7$ ( $s, 2 \mathrm{CO}_{2} \mathrm{Me}$ ); $\left.170.5\left(s, \mathrm{CO}_{2} \mathrm{Me}\right) ; 168.2\left(s, \mathrm{CO}_{2} \mathrm{Me}\right) ; 155.9,147.9,139.9\left(s, 3 \mathrm{C}^{2} \mathrm{sp}^{2}\right)\right) ; 137.2(d, \mathrm{CH}) ; 133.1,124.1$ ( $\left.s, 2 \mathrm{C}\left(\mathrm{sp}^{2}\right)\right) ; 72.2\left(s, \mathrm{C}\left(\mathrm{sp}^{3}\right)\right), 53.2,52.1,52.8\left(q, 3 \mathrm{CO}_{2} \mathrm{Me}\right) ; 47.0,44.5\left(s, 2 \mathrm{C}\left(\mathrm{sp}^{3}\right)\right) ; 40.7,39.3(d, 2 \mathrm{CH}) ; 15.7$, $15.1(q, 2 \mathrm{Me}) ; 14.5(q, 2 \mathrm{Me})$. CI-MS $\left(\mathrm{NH}_{3}\right): 469.5\left(100, \mathrm{M}^{+}\right), 437.5(14)$. X-Ray crystal structure of 31 : see the Table.

Hexamethyl (4Z,6E,8Z,10Z)-2,11,13,16-Tetramethyltricyclo[10.3.1.0 ${ }^{3.13}$ ]hexadeca-2,4,6,8,10,12(16),14-heptaene-4,5,8,9,14,15-hexacarboxylate (32): $9 \mathrm{mg}(0.6 \%)$. Orange-colored crystals. M.p. 193.2-193.4 ${ }^{\circ}$. UV/VIS $\left(\mathrm{CH}_{2} \mathrm{Cl}_{2}\right): \lambda_{\text {max }} 400.5(3.1), 316(3.9), 236.6(4.2), 261.7(\mathrm{sh}, 4.1) ; \lambda_{\text {min }} 290.1(3.8) . \mathrm{IR}(\mathrm{KBr}): 1721,1434,1315,1247$, 1209, 1114. ${ }^{1} \mathrm{H}-\mathrm{NMR}(300 \mathrm{MHz}): 6.73\left(d,{ }^{3} \mathrm{~J}=16.0, \mathrm{H}-\mathrm{C}(7)\right) ; 6.00(s, \mathrm{H}-\mathrm{C}(10)) ; 5.97\left(d,{ }^{3} J=16.1, \mathrm{H}-\mathrm{C}(6)\right)$; $4.59(s, \mathrm{H}-\mathrm{C}(1)) ; 3.84,3.83,3.80,3.79,3.75,3.73\left(6 \mathrm{~s}, \mathrm{CO}_{2} \mathrm{Me}\right) ; 1.95,1.90,1.73,1.68(4 \mathrm{~s}, \mathrm{Me}) .{ }^{1} \mathrm{H}-\mathrm{NOE}$ $(400 \mathrm{MHz}): \quad 1.68(\mathrm{Me}-\mathrm{C}(2)) \rightarrow 4.59(\mathrm{~s}, \mathrm{H}-\mathrm{C}(1)), \quad 5.97(\mathrm{w}, \mathrm{H}-\mathrm{C}(6)) ; 1.73(\mathrm{Me}-\mathrm{C}(16)) \rightarrow 4.59(\mathrm{~s}, \mathrm{H}-\mathrm{C}(1)) ;$ $1.90(\mathrm{Me}-\mathrm{C}(13)) \rightarrow 6.73(\mathrm{~s}, \mathrm{H}-\mathrm{C}(7)) ; 1.95(\mathrm{Me}-\mathrm{C}(11)) \rightarrow 6.00(\mathrm{~s}, \mathrm{H}-\mathrm{C}(10)) ; 4.59(\mathrm{H}-\mathrm{C}(1)) \rightarrow 1.73,1.68(\mathrm{~m}$, $\mathrm{Me}-\mathrm{C}(16), \mathrm{Me}-\mathrm{C}(2)) ; 6.00(\mathrm{H}-\mathrm{C}(10)) \rightarrow 1.95(\mathrm{~m}, \mathrm{Me}-\mathrm{C}(11)) .{ }^{13} \mathrm{C}-\mathrm{NMR}(75 \mathrm{MHz}): 167.7,167.4,166.5,166.4$,
166.2, $163.7\left(s, 6 \mathrm{CO}_{2} \mathrm{Me}\right) ; 157.9,144.4,144.1,142.9,142.2,141.7,140.4,1.36 .9,135.1\left(\mathrm{~s}, 9 \mathrm{C}\left(\mathrm{sp}^{2}\right)\right) ; 132.0(d, \mathrm{CH})$; $130.0\left(\mathrm{~s}, \mathrm{C}\left(\mathrm{sp}^{2}\right)\right) ; 126.9,123.7(d, 2 \mathrm{CH}) ; 56.9(d, \mathrm{CH}) ; 53.2\left(\mathrm{C}\left(\mathrm{sp}^{3}\right)\right) ; 52.6,52.5,52.4,52.1\left(q, 4 \mathrm{CO}_{2} \mathrm{Me}\right) ; 24.6,17.8$, 17.7, $14.2(q, 4 \mathrm{Me})$. EI-MS: $610\left(3, M^{+\cdot}\right), 578(100), 577(45), 563(20)$. Anal. calc. for $\mathrm{C}_{32} \mathrm{H}_{34} \mathrm{O}_{12}$ (610.61): C 62.95 , H 5.61 ; found: C 63.14, H 5.87. X-Ray crystal structure of 32: see Fig. 4 and the Table.
2.6. 1- $\left[{ }^{2} H_{3}\right]$ Methyl-3.4,8-trimethylazulene $\left(1-\left[{ }^{2} \mathrm{H}_{3}\right] \mathrm{Me}-9\right)$. 2.6.1. The indroduction of the $\left[{ }^{2} \mathrm{H}_{3}\right] \mathrm{Me}$ into 1,4,8trimethylazulene was performed in the usual manner via Vilsmeier formylation of the azulene with ( $\mathrm{D}_{7}$ ) DMF in 1,2-dichlorobenzene and subsequent reduction of the $\left[{ }^{2} \mathrm{H}\right]$ carbaldehyde function with $\mathrm{NaB}\left[{ }^{2} \mathrm{H}_{4}\right] / \mathrm{BF}_{3} \cdot \mathrm{Et}_{2} \mathrm{O}$.
$1-\int^{2} H_{3} / \mathrm{Me}-9$. The ${ }^{1} \mathrm{H}-\mathrm{NMR}$ data were identical with those of 9 . The $s$ of $\mathrm{Me}-\mathrm{C}(1,3)$ at 2.85 showed only half of the intensity compared with the $s$ at 2.98 ( $\mathrm{Me}-\mathrm{C}(4,8)$ ).
2.6.2. Thermal Reaction. A mixture of $1-\left[{ }^{2} \mathrm{H}_{3}\right] \mathrm{Me}-9(0.28 \mathrm{~g}, 1.5 \mathrm{mmol})$ and ADM ( $1.04 \mathrm{~g}, 7.3 \mathrm{mmol}$ ) was heated in 5 ml of decalin. Workup and isolation of the products as described in Sect. 2.5.

Hexamethyl ( $4 \mathrm{Z}, 6 \mathrm{E}, 8 \mathrm{Z}, 10 \mathrm{Z})-11,13,16$-Trimethyl-2- $\left(\int^{2} \mathrm{H}_{3}\right.$ Imethyl- $\left(2-\left[{ }^{2} \mathrm{H}_{3}\right] \mathrm{Me}-32\right)$ and 2,11,13-Trimethyl-16( $\left.{ }^{2} \mathrm{H}_{3}\right]$ methyl) tricyclo[ $10.3 .1 .0^{3.13}$ ]hexadeca-2,4,6,8,10,12(16),14-heptaene-4,5,8,9,14,15-hexacarboxylate (16$\left.\left[{ }^{2} \mathrm{H}_{3}\right] \mathrm{Mc}-32\right):{ }^{1} \mathrm{H}-\mathrm{NMR}(300 \mathrm{MHz}): 6.75\left(d,{ }^{3} J=15.9, \mathrm{H}-\mathrm{C}(7)\right) ; 6.00(s, \mathrm{H}-\mathrm{C}(10)) ; 5.97\left(d,{ }^{3} J=16.1, \mathrm{H}-\mathrm{C}(6)\right)$; $4.59(s, \mathrm{H}-\mathrm{C}(1)) ; 3.84,3.81,3.79,3.74,3.73\left(6 s, \mathrm{CO}_{2} \mathrm{Me}\right) ; 1.94(s, \mathrm{Me}-\mathrm{C}(11)) ; 1.91(s, \mathrm{Me}-\mathrm{C}(13)) ; 1.75,1.68$ ( $2 s, \mathrm{Me}-\mathrm{C}(16,2)$ ). The integration of the latter two signals gave half of the integrals compared with the signals at 1.94 and 1.91. EI-MS: 6.13 ( $M^{+\cdot}$ not visible), $581\left(100,[M-\mathrm{MeOH}]^{+}\right), 566(60)$.

Tetramethyl 4,12,13-Trimethyl-2- $\left({ }^{2} \mathrm{H}_{3}\right]$ methyl $)-\left(2-\left[{ }^{2} \mathrm{H}_{3}\right] \mathrm{Me}-30\right)$ and $2,12,13$-Trimethyl-4- $\left(\left[{ }^{2} \mathrm{H}_{3}\right]\right.$ methyl $)$ tetracyclo(6.4.2.0 ${ }^{1,5} .0^{9,12}$ ]tetradeca-2,4,6,10,13-pentaene-6,7,10,11-tetracarboxylate $\left(4-\left[{ }^{2} \mathrm{H}_{3}\right] \mathrm{Me}-30\right):{ }^{1} \mathrm{H}-\mathrm{NMR}$ (300 MHz): $6.17(s, \mathrm{H}-\mathrm{C}(3)) ; 5.67\left(d,{ }^{3} J=7.6, \mathrm{H}-\mathrm{C}(14)\right) ; 4.17\left(d d,{ }^{3} J=9.9,{ }^{4} J=2.3, \mathrm{H}-\mathrm{C}(8)\right) ; 3.85,3.80,3.77$, $3.76\left(4 s, \mathrm{CO}_{2} \mathrm{Me}\right) ; 2.65\left(d,{ }^{4} J=1.9, \mathrm{H}-\mathrm{C}(9)\right) ; 1.98(s, \mathrm{Me}-\mathrm{C}(4)) ; 1.92\left(d,{ }^{4} J=1.4, \mathrm{Me}-\mathrm{C}(2)\right)$ : The integration of the latter two signals gave half of the integrals compared with the signals at $1.50\left(d,{ }^{4} J=1.4, \mathrm{Me}-\mathrm{C}(13)\right)$ and $1.03(s, \mathrm{Me}-\mathrm{C}(12))$. El-MS: $471\left(100, M^{+}\right)$.

Tetramethyl 4,11,12-Trimethyl-2-( $\left.{ }^{2} H_{3}\right]$ methyl $)-\left(2-\left[{ }^{2} H_{3}\right] \mathrm{Me}-31\right)$ and 2,11,12-Trimethyl-4-( $\left[^{2} \mathrm{H}_{3} /\right.$ methylpentacyclo[6.3.3.0 $\left.0^{1.5} .0^{9,11} .0^{10,13} .0^{12,14}\right]$ tetradeca- $2,4,6$-triene- $6,7,10,14$-tetracarboxylate $\left(4-\left[{ }^{2} \mathrm{H}_{3}\right] \mathrm{Me}-31\right)$ : ${ }^{1} \mathrm{H}$ NMR ( 300 MHz ): $6.21(\mathrm{~s}, \mathrm{H}-\mathrm{C}(3)) ; 4.20\left(t,{ }^{3} J=5.44, \mathrm{H}-\mathrm{C}(8)\right) ; 3.89,3.76,3.74\left(3 \mathrm{~s}, \mathrm{CO}_{2} \mathrm{Me}\right) ; 2.08,2.06(2 \mathrm{~s}$, $\mathrm{Me}-\mathrm{C}(2,4))$. The integration of the latter two signals gave half of the integrals compared to the signal at $0.70(s$, Me-C(11,12)). El-MS: 471 (33, $\left.M^{+\cdot}\right), 439(39), 244(99), 243(100)$.
3. X-Ray Crystal-Structure Determination of 10, 12, 18, 'anti'-27, 'anti'-28, 31, and $\left.32^{6}\right)^{7}$ ). - All measurements were conducted on a Rigaku AFC5R diffractometer fitted to a $12-\mathrm{kW}$ rotating anode generator. The intensities were collected using $w / 2 q$ scans, and three standard reflections, which were measured after every 150 reflections, remained stable throughout each data collection. The intensities were corrected for Lorentz and polarization effects, and for 12, a semi-empirical absorption correction [20] was applied. Each structure was solved by direct methods using SHELXS 86 [21] which revealed the positions of all non- $\mathrm{H}-\mathrm{atoms}$. All refinements were carried out on $F$ using full-matrix least-squares procedures which minimized the function $\sum w\left(\left|F_{0}\right|-\left|F_{0}\right|\right)^{2}$, where $1 / w=$ $\left[\sigma^{2}\left(F_{0}\right)+\left(p F_{0}\right)^{2}\right]$. The data collection and refinement parameters for each compound are listed in the Table. Neutral atom scattering factors for non- H -atoms were taken from [22a] and the scattering factors for H -atoms from [23]. Anomalous dispersion effects were included in $F_{c}$ [24]; the values for $f^{\prime \prime}$ and $f^{\prime \prime}$ were taken from [22b]. All calculations were performed using the TEXSAN [25] crystallographic software package and the figures were produced with ORTEPII [26].

For 'anti'-28, the O -atom of the CHO group is disordered with the two orientations about the $\mathrm{C}(2)-\mathrm{C}(15)$ bond lying ca. $180^{\circ}$ apart. The site occupation of the disordered atoms was refined and yielded an occupation factor for the major component of $c a .0 .83$. The asymmetric unit also contains two sites partially occupied by $\mathrm{H}_{2} \mathrm{O}$ molecules. The occupation factors were set at 0.25 , which yielded reasonable thermal parameters for the O -atoms of the $\mathrm{H}_{2} \mathrm{O}$ molecules. The non- H -atoms were refined anisotropically, except for the O -atoms of the $\mathrm{H}_{2} \mathrm{O}$

[^5]Table. X-Ray Crystal-Structure Data

|  | 10 | 12 | 18 | 'anti'-27 | 'anti'-28 | 31 | 32 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Crystallized from | $\mathrm{Et}_{2} \mathrm{O}$ | $\mathrm{Et}_{2} \mathrm{O}$ | $\mathrm{Et}_{2} \mathrm{O}$ | $\mathrm{Et}_{2} \mathrm{O}$ | $\mathrm{Et}_{2} \mathrm{O}$ | $\mathrm{Et}_{2} \mathrm{O}$ | $\mathrm{Et}_{2} \mathrm{O}$ |
| Empirical formula | $\mathrm{C}_{20} \mathrm{H}_{20} \mathrm{O}_{5}$ | $\mathrm{C}_{26} \mathrm{H}_{26} \mathrm{O}_{9}$ | $\mathrm{C}_{27} \mathrm{H}_{28} \mathrm{O}_{9}$ | $\mathrm{C}_{25} \mathrm{H}_{24} \mathrm{O}_{9}$ | $\mathrm{C}_{26} \mathrm{H}_{26} \mathrm{O}_{9} \cdot 1 / 2 \mathrm{H}_{2} \mathrm{O}$ | $\mathrm{C}_{26} \mathrm{H}_{28} \mathrm{O}_{8}$ | $\mathrm{C}_{32} \mathrm{H}_{34} \mathrm{O}_{12}$ |
| Formula weight | 340.37 | 482.49 | 496.51 | 468.46 | 491.49 | 468.50 | 610.61 |
| Crystal color, habit | yellow, prism | bright-yellow, prism | yellow-orange, prism | colorless, prism | colorless, prism | colorless, irregular prism | orange, prism |
| Crystall dimensions [mm] | $0.35 \cdot 0.40 \cdot 0.40$ | $0.10 \cdot 0.20 \cdot 0.34$ | $0.23 \cdot 0.25 \cdot 0.43$ | $0.18 \cdot 0.38 \cdot 0.38$ | $0.25 \cdot 0.35 \cdot 0.40$ | $0.27 \cdot 0.32 \cdot 0.45$ | $0.10 \cdot 0.18 \cdot 0.33$ |
| Crystal temp. [K] | 173(1) | 297(1) | 173 (1) | 173(1) | 173(1) | 173(1) | 173(1) |
| Radiation | MoK ${ }_{\alpha}$ | $\mathrm{Cu}_{\alpha}$ | MoK ${ }_{\text {a }}$ | MoK ${ }_{\alpha}$ | MoK ${ }_{\alpha}$ | MoK ${ }_{\alpha}$ | MoK ${ }_{\alpha}$ |
| Wavelength [ $\AA$ ] | 0.17106 | 1.54179 | 0.17106 | 0.17106 | 0.17106 | 0.17106 | 0.17106 |
| Crystal system | orthorhombic | triclinic | monoclinic | triclinic | monoclinic | triclinic | triclinic |
| Space group | Pca ${ }_{1}$ | $P \overline{1}$ | $P 2_{1} / n$ | $P \overline{1}$ | $P 21 / n$ | $P \overline{1}$ | $P \overline{1}$ |
| Z | 4 | 2 | 4 | 2 | 4 | 2 | 2 |
| Reflections for cell determination | 25 | 25 | 23 | 25 | 25 | 25 | 19 |
| $2 \theta$ Range for cell determination [ ${ }^{\circ}$ ] | 38-40 | 81-91 | 37-40 | 24-26 | 38-40 | 39-40 | 37-39 |
| Unit cell parameters |  |  |  |  |  |  |  |
| $a[\AA]$ | 13.060 (1) | 8.4172(5) | 10.890 (2) | 10.591 (2) | 7.741 (2) | 11.737 (2) | 11.225 (3) |
| $b[\AA]$ | 9.781 (1) | 17.838(1) | 18.839 (5) | 12.864(3) | 17.114(2) | 13.572 (3) | 15.611 (4) |
| $c[\AA]$ | 13.433(1) | 8.150 (1) | 11.683 (2) | 8.942 (2) | 20.142(2) | 7.897 (1) | 9.319 (3) |
| $\alpha\left[{ }^{\circ}\right]$ | 90 | 91.959 (8) | 90 | 98.03(2) | 90 | 91.75 (2) | 93.47(3) |
| $\beta\left[{ }^{\circ}\right]$ | 90 | 93.301 (9) | 91.34(1) | 107.34(1) | 100.20(1) | 103.75(1) | 113.02 (2) |
| $\gamma\left[{ }^{\circ}\right]$ | 90 | 80.975 (5) | 90 | 78.25 (2) | 90 | 110.86(2) | 89.42 (2) |
| $V\left[\AA^{3}\right]$ | 1715.9 (3) | 1206.1 (2) | 2408.8(7) | 1134.5(4) | 2626.1 (8) | 1132.5 (4) | 1500.1 (8) |
| $D_{x}\left[\mathrm{~g} \mathrm{~cm}^{-3}\right]$ | 1.317 | 1.328 | 1.369 | 1.371 | 1.243 | 1.374 | 1.352 |
| $\mu\left[\mathrm{mm}^{-1}\right]$ | 0.0942 | 0.847 | 0.103 | 0.105 | 0.095 | 0.102 | 0.104 |
| Scan type | $\omega / 2 \theta$ | $\omega / 2 \theta$ | $\omega / 2 \theta$ | $\omega / 2 \theta$ | $\omega / 2 \theta$ | $\omega / 2 \theta$ | $\omega / 2 \theta$ |
| $2 \theta_{(\text {max })}\left[{ }^{\circ}\right]$ | 60 | 120 | 60 | 55 | 60 | 60 | 46 |
| Total reflections mesasured | 3366 | 3881 | 7567 | 5507 | 8420 | 6895 | 4432 |
| Symmetry independent refl. | 2603 | 3596 | 7023 | 5223 | 7651 | 6601 | 4180 |

Table (cont.)

|  | 10 | 12 | 18 | 'anti'-27 | 'anti'-28 | 31 | 32 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Refl. used | 2236 | 2979 | 4610 | 3774 | 5200 | 4821 | 2736 |
| [ $I>2 \sigma(I)$ ] |  |  |  |  |  |  |  |
| Variables | 306 | 342 | 437 | 403 | 434 | 419 | 398 |
| Reflection/parameter ratio | 7.31 | 8.71 | 10.5 | 9.36 | 12.0 | 11.5 | 6.87 |
| Final $R$ | 0.0389 | 0.0423 | 0.0486 | 0.0419 | 0.0548 | 0.0455 | 0.0515 |
| $R_{w}$ | 0.0347 | 0.0443 | 0.0422 | 0.0362 | 0.0589 | 0.0397 | 0.0490 |
| $p$ for $Y_{w}=\sigma^{2}\left(F_{o}\right)+\left(p F_{o}\right)^{2}$ | 0.005 | 0.005 | 0.005 | 0.005 | 0.005 | 0.005 | 0.0075 |
| Goodness of fit | 1.803 | 2.415 | 1.643 | 2.066 | 2.401 | 1.907 | 1.873 |
| Final $\Delta_{\text {max }} / \sigma$ | 0.0002 | 0.0003 | 0.0003 | 0.0005 | 0.0003 | 0.0004 | 0.0001 |
| $\begin{aligned} & \Delta \rho(\max ; \min ) \\ & {\left[\mathrm{e} \AA^{-3}\right]} \end{aligned}$ | 0.27; -0.19 | 0.18; -0.16 | 0.32; -0.20 | 0.33; -0.19 | 0.45; -0.41 | 0.33; -0.23 | 0.26; -0.23 |

molecules, which were refined only isotropically. The disordered aldehyde H -atoms were fixed in geometrically calculated positions and were assigned fixed isotropic displacement parameters with a value equal to $1.2 U_{\text {eq }}$ of the parent C -atom. The H -atoms of the $\mathrm{H}_{2} \mathrm{O}$ molecules were omitted from the model. All of the other H -atoms were placed in the positions indicated by a difference electron density map and their positions were allowed to refine together with individual isotropic displacement parameters.

For all other compounds, the non-H-atoms were refined anisotropically. For 10, 18, 'anti'-27, and 31, the H -atoms were located in difference-electron-density maps and their positions were refined together with individual isotropic displacement parameters. For 12 and 32, the H -atoms were fixed in geometrically idealized positions $(d(\mathrm{C}-\mathrm{H})=0.95 \AA)$, and for $\mathbf{1 2}$ their isotropic displacement parameters were allowed to refine, while for 32 the H-atoms were assigned fixed isotropic displacement parameters with the value equal to $1.2 U_{\text {eq }}$ of the parent C-atom. A correction for secondary extinction was applied for $\mathbf{1 0}$ and $\mathbf{3 2}$.

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[^0]:    ${ }^{1}$ ) Part of the Ph. D. thesis of A. M., University of Zurich, 1996.

[^1]:    ${ }^{2}$ ) This torsion angle is taken from the X-ray crystal structure of the analogous dimethyl 2 -formyl-7-isopropyl-4,11-dimethyltricyclo[6.2.2.0 ${ }^{1,5}$ ]dodeca-2,4,6,9,11-pentaene-9,10-dicarboxylate whose synthesis we have already described [2] (see Exper. Part, Footnote 7).

[^2]:    ${ }^{3}$ ) Rearrangements of this type are well known from cyclopropanated norbornadienes that are transformed into homoquadricyclane derivatives (cf. [6] [7]). In a control experiment the tricycle $\mathbf{1 0}(S c h e m e 2$ ) was heated with excess ADM in decalin at $180^{\circ}$. The tetrahydrocyclopenta[bc]acenaphthylene derivative $\mathbf{1 2}$ could unequivocally be identified in the reaction mixture (see Exper. Part).

[^3]:    ${ }^{4}$ ) The reverse reaction is a well-known photochemical process (see [13] and ref. cit. there) which has also been observed thermally in some cases (cf. [14]).

[^4]:    ${ }^{5}$ ) The synthesis of $1-\left[{ }^{2} \mathrm{H}_{3}\right] \mathrm{Me}-9$ was realized by the Vilsmeier reaction of $1,4,8$-trimethylazulene with ( $\mathrm{D}_{7}$ )DMF followed by the reduction of the $\left[{ }^{2} \mathrm{H}\right]$ carbaldehyde of 8 with $\mathrm{NaB}\left[{ }^{2} \mathrm{H}_{4}\right] / \mathrm{BF}_{3} \cdot \mathrm{OEt}_{2}$.

[^5]:    ${ }^{6}$ ) Crystallographic data (excluding structure factors) for the structures reported in this paper have been deposited with the Cambridge Crystallographic Data Centre as supplementary publication No. CCDC-10/36. Copies of the data can be obtained, free of charge, on application to the Director, CCDC, 12 Union Road, Cambridge CB2 1EZ, UK. (fax: $+44(0) 1223336033$ or email: teched $@$ chemcrys.cam.ac.uk).
    ${ }^{7}$ ) The structure of dimethyl 2 -formyl-7-isopropyl-4,11-dimethyltricyclo[6.2.2.0 ${ }^{1,5}$ ]dodeca-2,4,6,9,11-pentaene9,10 -dicarboxylate has also been determined, but is not reported here. The crystallographic data have been deposited with the CCDC.

